

# The Relaxation Cascade in Driven High-Energy-Density Matter

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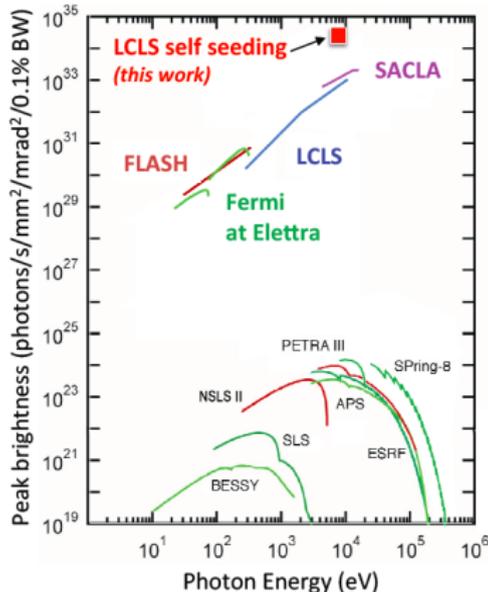


# Why is Nonequilibrium Physics Important?

## Examples for strongly driven matter

- Applications like laser ablation, nano-structuring of materials and fusion
  - Short-pulse laser interactions
  - Plasmas near bright stars
  - Matter under FEL radiation
    - ▶ High photon numbers
    - ▶ Extreme brightness
    - ▶ Pulse duration in the fs-range
    - ▶ High repetition rate
- ⇒ FEL beam will excite matter and **create a nonequilibrium state**
- ⇒ FEL beam can be used to **probe the equilibration process**
- Some properties are highlighted in nonequilibrium situations (collisionality)

## Ultra-short Pulses for Probing



from Fletcher et al., Nat. Phot. (2015)

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## Ultra-short Pulses for Probing



+

SACLA, European XFEL

**Nonequilibrium physics of  
WDM becomes possible!**

# Outline: Relaxation Processes in Dense Plasmas

Establishment of Fermi distribution for the electrons (few fs)



Ionisation kinetics (100s fs – few ps)



Equilibration of ion arrangement & temperature (few ps)



Electron-ion temperature relaxation (10s-100s ps)



Pressure equilibration with hydrodynamic expansion  
(quasi-equilibrium: motion on ns time scale)

# Electron Dynamics

# Kinetic Description of Electron Dynamics I

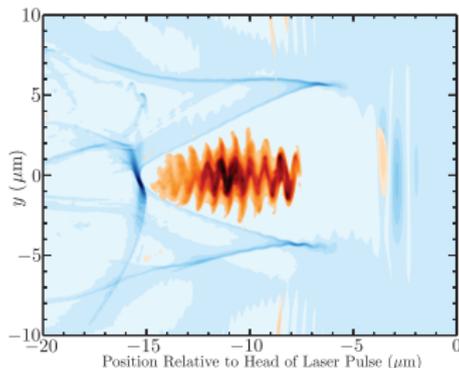
general kinetic equation within local approximation

$$\left( \frac{\partial}{\partial t} + \nabla_{\mathbf{p}} E(\mathbf{p}, \mathbf{R}t) \nabla_{\mathbf{R}} - \nabla_{\mathbf{R}} E(\mathbf{p}, \mathbf{R}t) \nabla_{\mathbf{p}} \right) f_e(\mathbf{p}, \mathbf{R}t) = \sum_b I_{eb}(\mathbf{p})$$

## Weakly coupled plasmas

- Neglect collisions:  $I_{eb} = 0$
- ⇒ Vlasov equation
- Direct numerical solution possible
- PIC is another approach
- ⇒ Approaches allow for spatial and temporal dynamics
- Problem: inclusion of collisions
- !!! Simple approaches  $I_{eb} \sim \nu_{eb} \delta f_e$  break f-sum rule (particle conservation)

## Example EPOCH output



Arber et al. using EPOCH

# Kinetic Description of Electron Dynamics II

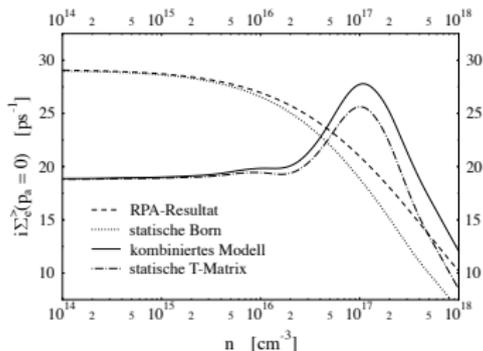
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## Strongly collisional plasmas

- Keep the collisions term  $I_{eb}$
  - Different approximations available (Boltzmann, Lenard-Balescu, ...)
- ⇒ No solution with full drift
- $\frac{\partial}{\partial t} f_e = \sum_b I_{eb}$  is often solved
- ⇒ Approach allows for temporal dynamics but for homogeneous systems only
- No problem with f-sum rule!
  - Questions: can we combine with PIC?

### Different collision rates

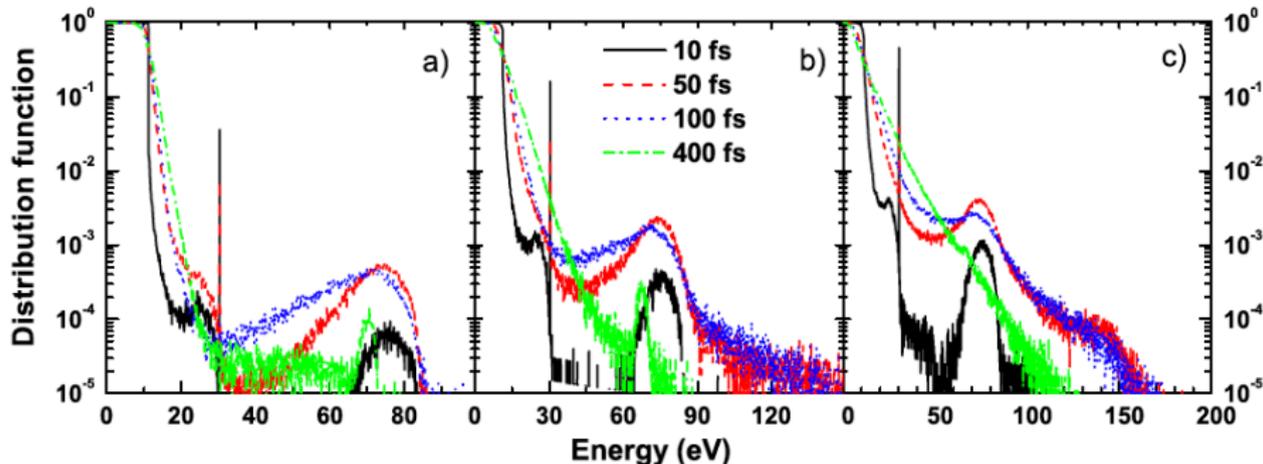


Gericke et al., PRB (1999)

# Example: Electron Dynamics in FEL Excited Matter

- Electron dynamics is describe via Monte Carlo scheme
- Initial, cold distribution of the three conduction electrons in Al
- ⇒ Bumps at high energies due to photo-ionisation and Auger processes
- ⇒ Bumps quickly decay into a hot tail due to collisions
- ⇒ Much slower relaxation towards a full equilibrium distribution

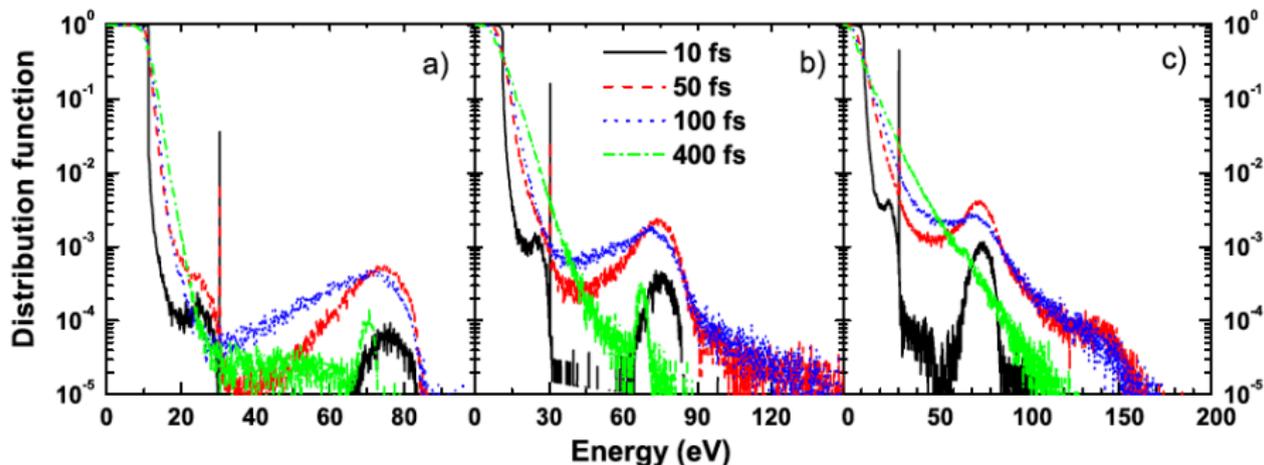
## Electron distribution in aluminium pumped by VUV photons at FLASH



Electron distribution for XUV fluences of a)  $0.2 \text{ J/cm}^2$ , b)  $1.5 \text{ J/cm}^2$ , c)  $5 \text{ J/cm}^2$

Medvedev et al., PRL (2011)

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Medvedev et al., PRL (2011)

## Insights for interpreting contradicting measurements

- Slow and weak heating of the conduction electron distribution  
⇒ Low “temperature” (few eV) of this part explains emission spectra
- Persistent tail of high-energy electrons exists very long  
⇒ Hot part of distribution (20 eV) can explain spectrum of Bremsstrahlung

# Possible Test of Relaxation with X-ray Scattering

- Scattered spectrum is proportional to structure factor  $S_{ee}(k, \omega)$
- For large  $k$ , scattering spectrum shows directly the distribution  $f_e$
- In equilibrium,  $S_{ee}(k, \omega)$  is obtained from response function (FDT)

$$S_{ee}(k, \omega) = \frac{\hbar}{\pi n_e} \frac{1}{1 - \exp(-\beta_e \hbar \omega)} \text{Im} \chi_{ee}(k, \omega)$$

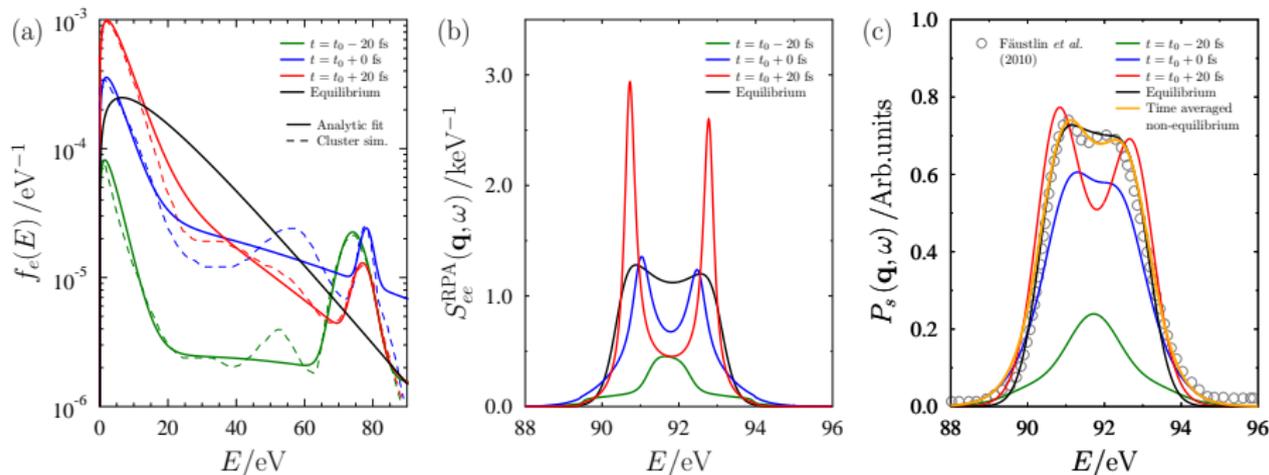
- How do we calculate  $S_{ee}(k, \omega)$  in nonequilibrium situations?
- Extension of fluctuation-dissipation theorem in nonequilibrium

$$S_{ee}(k, \omega) = \frac{i\hbar}{2\pi n_e} \frac{\Pi_{ee}^>(k, \omega)}{|\varepsilon(k, \omega)|^2} \stackrel{\text{RPA}}{=} \frac{S_{ee}^0(k, \omega)}{|\varepsilon(k, \omega)|^2}$$

- Ideal structure factor given by distribution functions
  - Screening function in RPA is also given by distribution functions
- ⇒ Mode spectrum is modified for nonequilibrium situations

# Example: Predictions for VUV Self-Scattering

## Analysis of FLASH experiment using nonequilibrium FDT



Chapman & Gericke, PRL (2011)

- Analysis reveals a very dynamic behaviour of the scattering spectrum
- Plasma parameters strongly evolve and differ from equilibrium fit
- Nonequilibrium analysis yield good agreement with experiments

⇒ **Nonequilibrium dynamics can, in principle, be tested!**

# Ionisation Kinetics

# Concepts for Ionisation Equilibrium in Dense Matter

## Plasma Physics Concept

- Start from electronic structure of isolated atom
- Saha equation determines the charge state distribution (equilibrium)

$$\frac{n_i}{n_{i+1}} = \frac{g_i}{g_{i+1}} \exp(\beta\mu) \exp(\beta E_i^{\text{eff}})$$

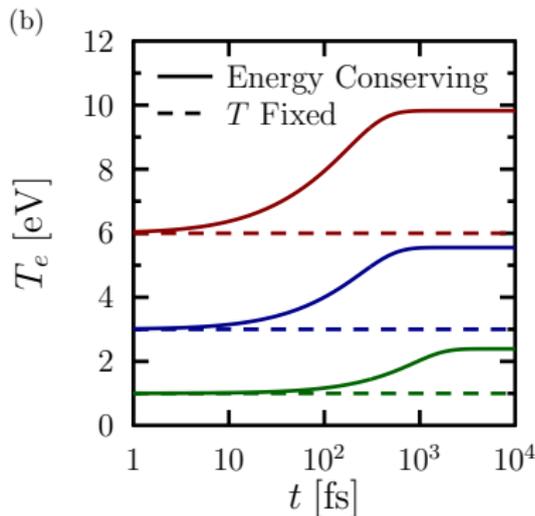
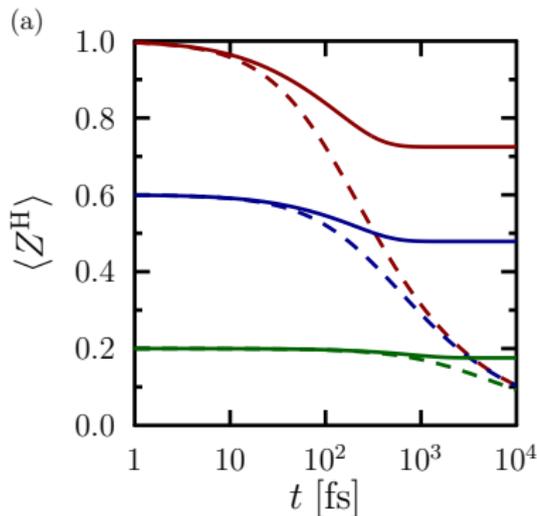
- Relaxation via system of rate equations with  $\alpha(E_i^{\text{eff}})$  and  $\beta(E_i^{\text{eff}})$
- Introduce effective ionisation energies  $E_i^{\text{eff}} = E_i^0 + \Delta_i$
- Shift  $\Delta_i(n, T, Z_i)$  accounts for interactions with surrounding medium

## Solid State Physics Concept

- Assume fixed configuration of nuclear charges
- Calculate band structure for the electronic states (valence band: 'bound'; conduction band: 'free')
- Insure that ionic configuration is consistent with electronic structure

# Example: Evolution of Ionisation and Temperature

- Consider hydrogen plasma with  $n_p = 10^{21} \text{ cm}^{-3}$
  - 3 different initial ionisation levels
  - Consider either fixed electron temperature or coupled evolution
- ⇒ Solve coupled rate equations for charge states and temperature



Baggott (PhD thesis)

**Results strongly depend on effective ionisation energy (IPD) !**

# Recent Experimental Results for the IPD

LCLS	ORION	NIF
<ul style="list-style-type: none"><li>Aluminium</li><li>70-180 eV</li><li>2.7 g/cm<sup>-3</sup></li></ul>	<ul style="list-style-type: none"><li>Aluminium</li><li>550-700 eV</li><li>1.2-9.0 g/cm<sup>-3</sup></li></ul>	<ul style="list-style-type: none"><li>Plastics / C</li><li>50-200 eV</li><li>4.0-20.0 g/cm<sup>-3</sup></li></ul>
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Theories based on nonlinear screening provide (some) explanation

# IPD with Nonthermal Electrons: Theory

- Start with **linear response** of electrons to ionic fields
- Change in ionisation energy is still  $\Delta = -\kappa e^2$   
(assumes calculated fields at origin where ion is located)
- Dielectric function in RPA yields dynamic screening
- Take static limit and then the long wavelength limit  
(order is important)
- Static screening length in **nonequilibrium** is obtained as

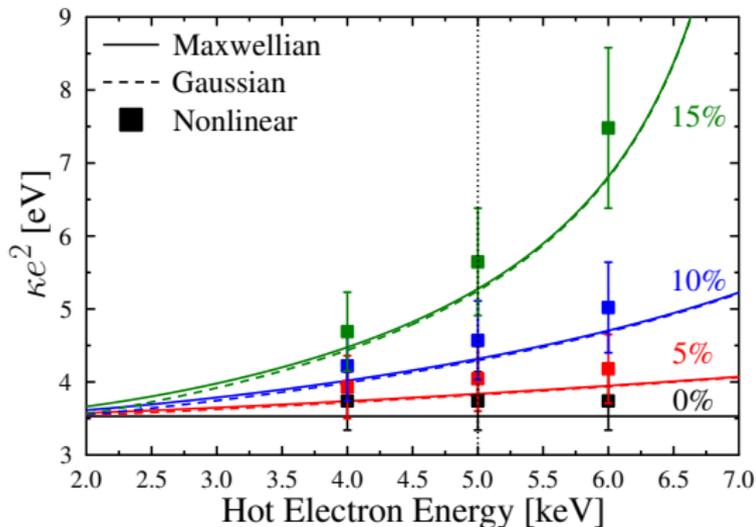
$$\kappa^2(t) = \frac{e^2}{\epsilon_0} m_e 4\pi \int_0^\infty \frac{dp}{(2\pi\hbar)^3} f_e(p, t)$$

⇒ Low sensitivity to hot electron distribution function

- Extension with nonlinear screening and extended ions are possible

# IPD with Nonthermal Electrons: Results

Consider carbon under X-ray free electron laser conditions (LCLS)



Baggott & Gericke (tbp)

Dashed line indicates 'bump' feature found in MD simulations  
Hau-Riege, PRE (2013)

- Hot electrons contribute little to the screening and IPD
- Hot electrons act as an energy sink keeping bulk electrons colder
- Increased screening in systems with hot electrons for fixed energy

# Build-Up of Ionic Correlations

# Build-up of Ionic Correlations & Structure

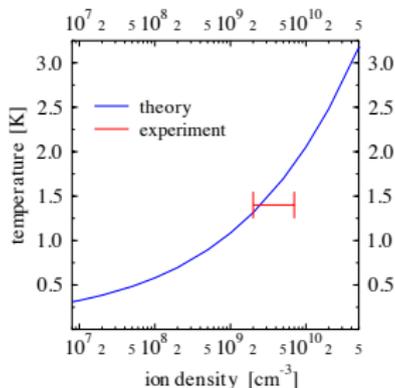
## Models for a theoretical description

- Direct (classical) molecular dynamics simulation in new energy landscape  
Murillo, PRL (2001)
- Final state from energy conservation applying the new pair distribution  
Gericke *et al.*, J.Phys.A (2003)

## Extreme example: ultra-cold plasmas

- No initial correlations (ideal gas)
  - Almost no kinetic energy at  $t=0$   
(gas temperature  $\approx 1 \mu\text{K}$ )
- $\Rightarrow U_{ii}^{corr}(0) = 0$  and  $E_i^{kin}(0) = 0$
- $\Rightarrow$  Effective coupling strength:

$$\Gamma_{ii}^{eff}(t) = \frac{|U_{ii}^{corr}(t)|}{E_i^{kin}(t)} = \frac{|U_{ii}^{corr}(t)|}{E_i^{kin}(0) + |U_{ii}^{corr}(t)|} \rightarrow 1$$



exp.: Killian *et al.*, PRL (2005)

## Other important example: ultra-fast nonthermal melting

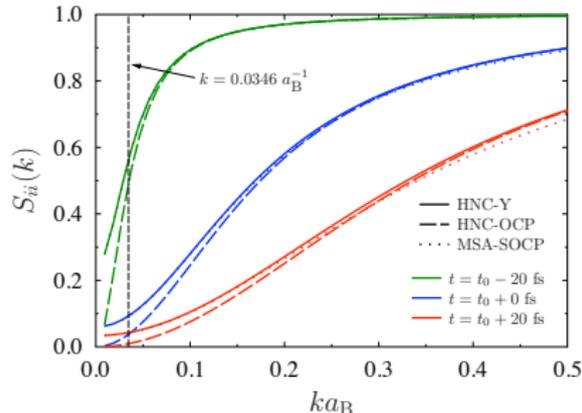
# Example: "Missing" Elastic Peak in XRTS Data

Full spectrum should contain an elastic scattering peak

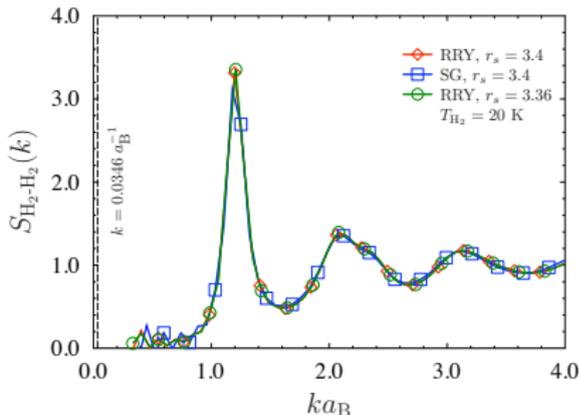
$$P(\theta, \omega) \sim S_{ee}^{tot}(k, \omega) = |f_i(k) + q(k)|^2 S_{ii}(k) \delta(\omega) + Z_f S_{ee}^0(k, \omega)$$

⇒ **What is the static ion structure in the system at probe time?**

Coulomb interactions (T=20 K)



Original hydrogen liquid



Chapman et al., HEDP (2012)

⇒ Ions retain their structure from the cold liquid during the pulse

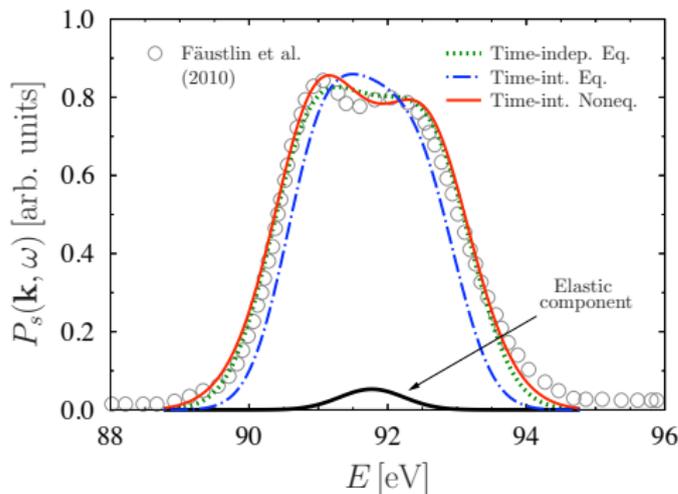
⇒ **The ion-ion structure factor for the  $k$ -value probed is very small**

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$$P(\theta, \omega) \sim S_{ee}^{tot}(k, \omega) = |f_i(k) + q(k)|^2 S_{ii}(k) \delta(\omega) + Z_f S_{ee}^0(k, \omega)$$

Applying initial hydrogen structure to scattering spectrum



Full power spectrum for FEL driven hydrogen  
(self-scattering)

- **Excellent agreement with experimental data**
- Best fit with data for:  
 $S_{ii}(k_{\text{probed}}) = 0.04$
- Ionic correlations are not present on fs-time scales
- We need to consider yet another relaxation process:  
**Build up of Ion Correlations**

Chapman et al., HEDP (2012)

# Electron-Ion Energy Relaxation

# Theoretical Models for the Energy Transfer

- Landau-Spitzer approach for weak classical electron-ion collisions

$$\dot{T}_e = (T_i - T_e) \frac{8\sqrt{2\pi} Z_i^2 e^4 \ln \lambda_c}{3m_e m_i} \left( \frac{T_e}{m_e} + \frac{T_i}{m_i} \right)^{-3/2}$$

Landau (1936), Spitzer (1967)

- Strong binary collision within quantum kinetic theory

$$E_{e \rightarrow i}^{trans} = \frac{1}{2\pi\hbar^3} \frac{n_e \Lambda_e^3}{m_i m_r} \int_0^\infty dk k^5 Q^T(k) \exp\left(-\frac{k^2}{2m_e k_B T_e}\right)$$

Gericke *et al.*, PRE (2002)

- Energy transfer through coupled collective modes

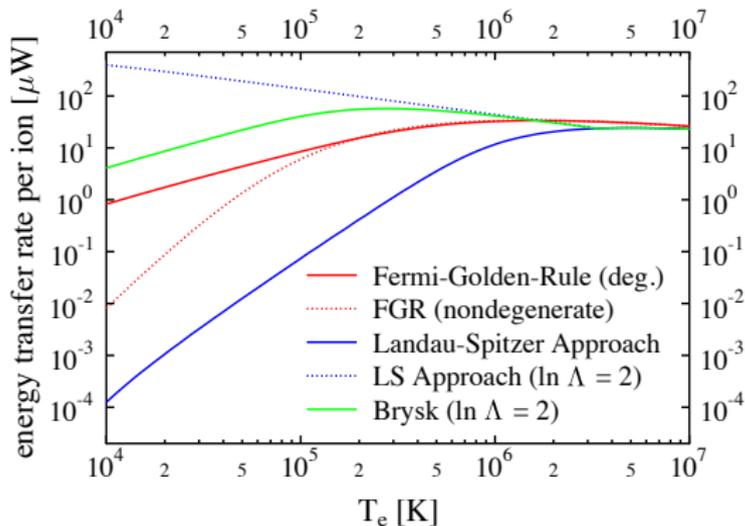
$$E_{e \rightarrow i}^{trans} = 4\hbar \int_0^\infty \frac{d\omega}{2\pi} \omega \int \frac{d^3\mathbf{k}}{(2\pi)^3} \left| U_{ei}^S(k) \right|^2 \frac{\Delta N_{ei} \chi_e''(\omega, k) \chi_i''(\omega, k)}{1 - V_{ei}(k) \chi_e(\omega, k) \chi_i(\omega, k)}$$

Dharma-wardana & Perrot, PRE (1998)

- Fermi's-Golden-Rule approach (simplest model with collective modes)

# Example: Electron-Ion Energy Transfer

## Results for the energy transfer rates



## Insights gained

- LS approach fails for degenerate plasmas
- Brysk describe rates only qualitatively
- What about coupled mode effects?
- **Huge theoretical uncertainties!**

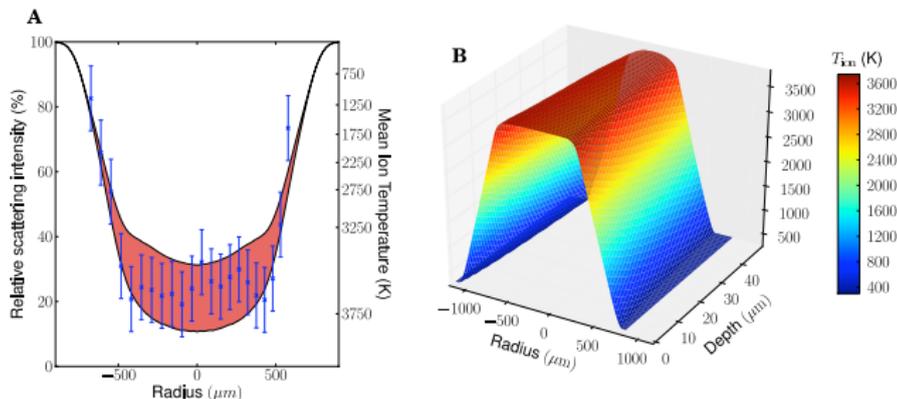
Energy transfer rates for silicon plasmas with  $Z_i=4$ ,  $n_i=1.17 \times 10^{23} \text{ cm}^{-3}$ , and  $T_i=10^3 \text{ K}$ .

Parameters like Celliers et al., PRL (1992)

# Measuring Electron-Phonon Coupling in Graphite

- Experimental setup: Proton-heated sample probed by x-ray diffraction
- Protons mainly heat electrons and set initial conditions  $T_e(0)$
- Lattice temperature is measured via decrease in Bragg scattering
- DFT-MD provides EOS model and Debye temperature/Debye-Waller factor

at  $t = 225$  ps



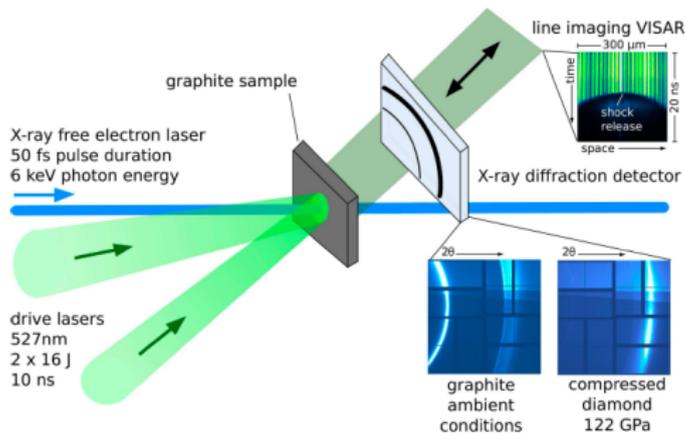
- Changes in lattice temperature can only be explained by an extremely low electron-phonon constant of  $g = 4.5 - 8 \times 10^{15} \text{ WK}^{-1} \text{ m}^{-3}$ .

⇒ **Strong evidence of energy transfer bottleneck in WDM**

White et al., Scientific Reports (2012)

# Material Modifications

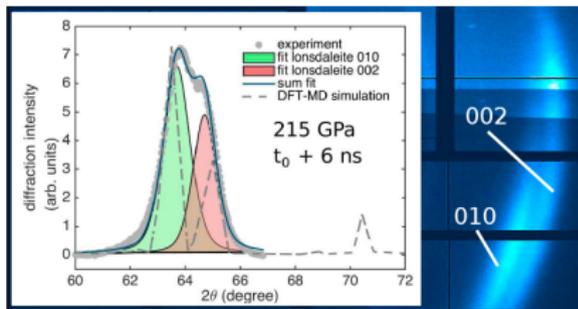
# Example: Modifying Materials with High Pressures



- Amorphous graphite sample  
⇒ Debye-Scherrer rings
  - Position of DS-rings indicate compression
  - Very clear signal with FEL
  - Ultra-fast probing possible
- ⇒ Evolution of phase transition

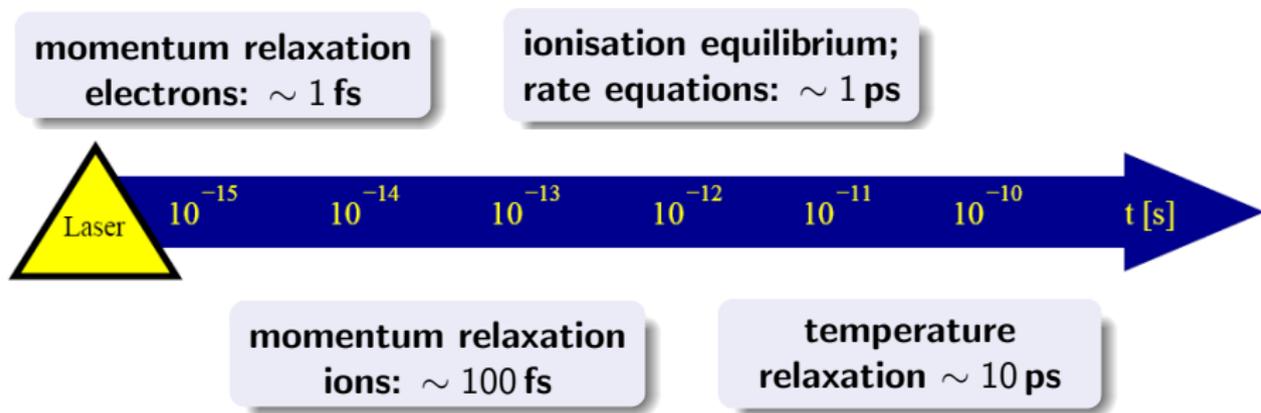
## Theory

- rings need to be identified with lattice structure
- occurrence of new rings  
⇒ structure search needed
- here: position consistent with lonsdaleite



Kraus et al., Nature Communications (2016)

## ... as a Summary



Transient processes offer a window to rich & interesting physics and FELs, combined with high-energy laser, are a perfect tool to investigate the different relaxation stages toward equilibrium.

One has to resist the “equilibrium trap” when analysing the data!

**Thank you!**