

# Atomic physics of high-energy density and radiation-driven plasmas

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- J. Abdallah, Jr., C. Fontes, S. Hsu, LANL
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# The impact of atomic physics

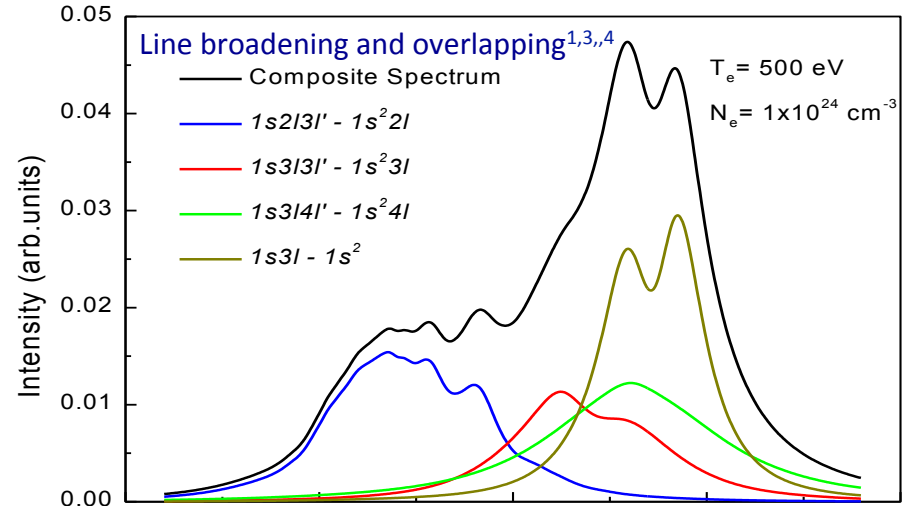
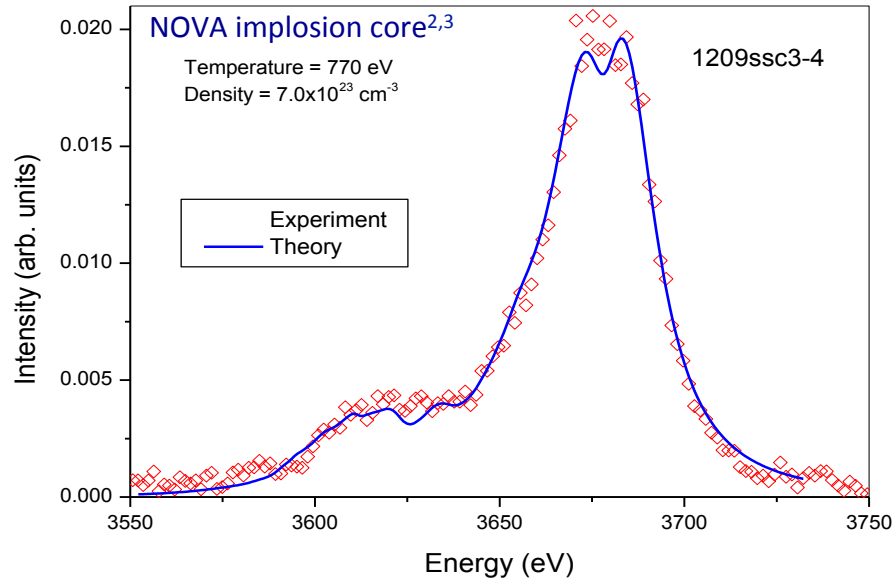
- Atomic processes and plasma atomic kinetics determine,
  - ion charge state distribution and level populations
  - photon energy dependent emissivity and opacity
  - emission, absorption and transport of radiation
  - also to some extent, EOS and thermal transport
- Plasma perturbations result in Stark broadened line shapes
- As a consequence, atomic physics plays a key role in **both** the diagnosis and modeling of plasmas
- We illustrate and discuss these ideas with two examples,
  - plasma diagnosis: tracer x-ray spectroscopy of inertial confinement fusion implosions
  - plasma modeling: heating of photoionized plasmas driven by a broadband x-ray flux

# X-ray spectroscopy of ICF implosions

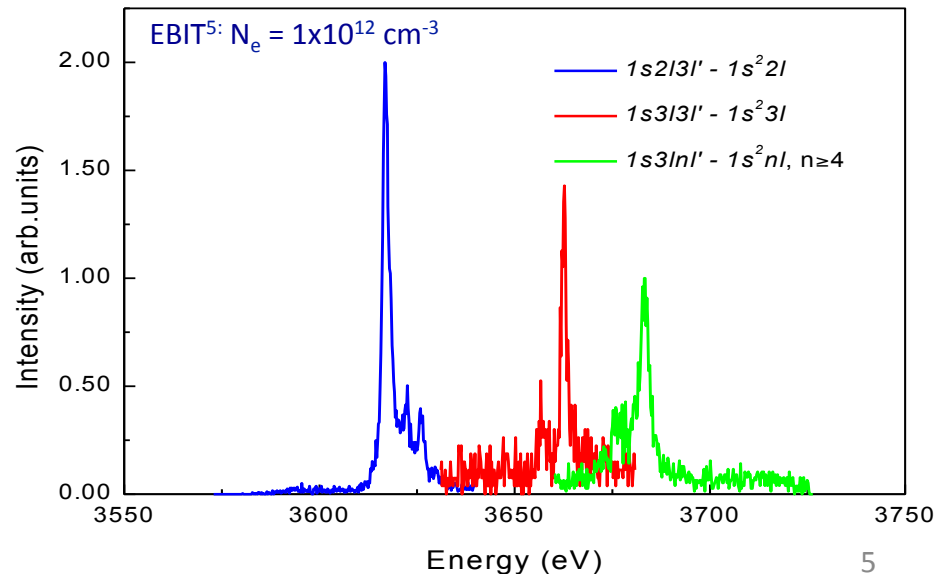
- X-ray spectroscopy has proven to be a powerful method to diagnose inertial confinement fusion experiments
- Analysis of the emission/absorption spectrum from a suitable tracer element added to the core and/or shell permits the diagnosis of the plasma conditions under which the emergent x-ray intensity distribution was formed
- The fundamental theory of plasma x-ray spectroscopy is multi-disciplinary: atomic, plasma and radiation transport physics
- The important and useful theory result is the dependence of the tracer's spectral features on plasma environmental conditions
- Important: test analysis method with synthetic data

# Plasma environment drives excitations and perturbations

## Argon He $\beta$ (1-3) line spectrum: parent and satellite transitions



- 12 orders of magnitude in density change the physics and make a difference!
- Implosion core plasma is “rich” in atomic processes and perturbations



<sup>1</sup>R. C. Mancini, C. F. Hooper, Jr. et al, RSI **63**, 5119 (1992)

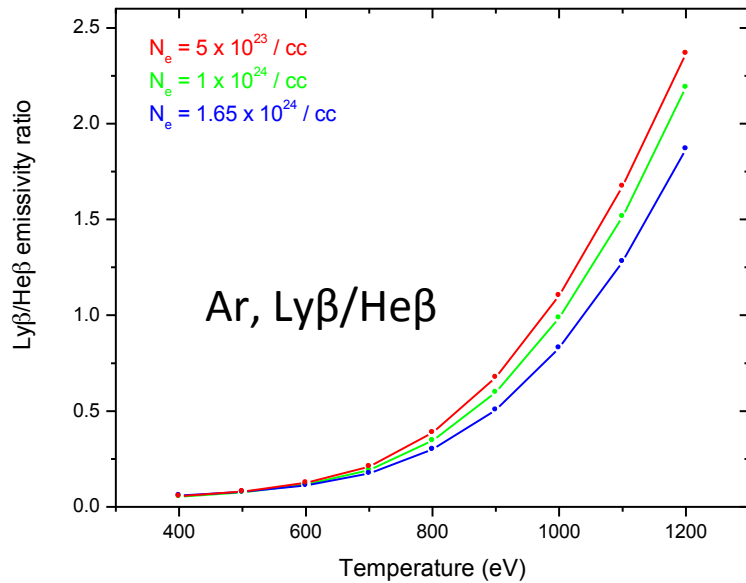
<sup>2</sup>N. C. Woolsey, B. A. Hammel et al, PRE **57**, 4650 (1998)

<sup>3</sup>I. E. Golovkin and R. C. Mancini, JQSR **65**, 273 (2000)

<sup>4</sup>R. C. Mancini, C. A. Iglesias, S. Ferri et al HEDP **9**, 731 (2013)

<sup>5</sup>A. J. Smith, P. Beiersdorfer, V. Decaux et al, PRA **54**, 462 (1996)

# Argon line ratios and widths



- Useful information is encoded in line ratios and widths that can be extracted from x-ray spectrometer observations
- But, in dense implosion core plasmas Stark line broadening, overlapping and blending make it difficult to isolate individual lines

## He-like Ar He $\beta$

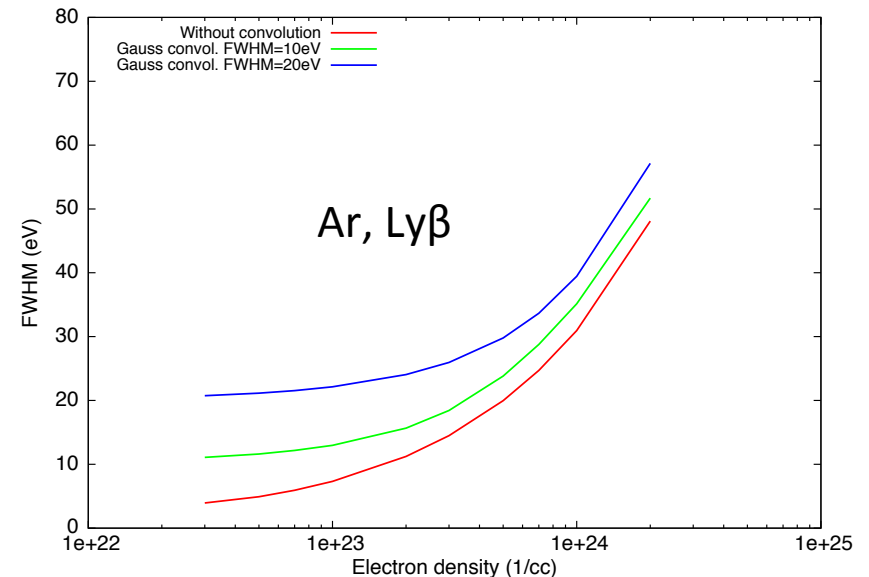
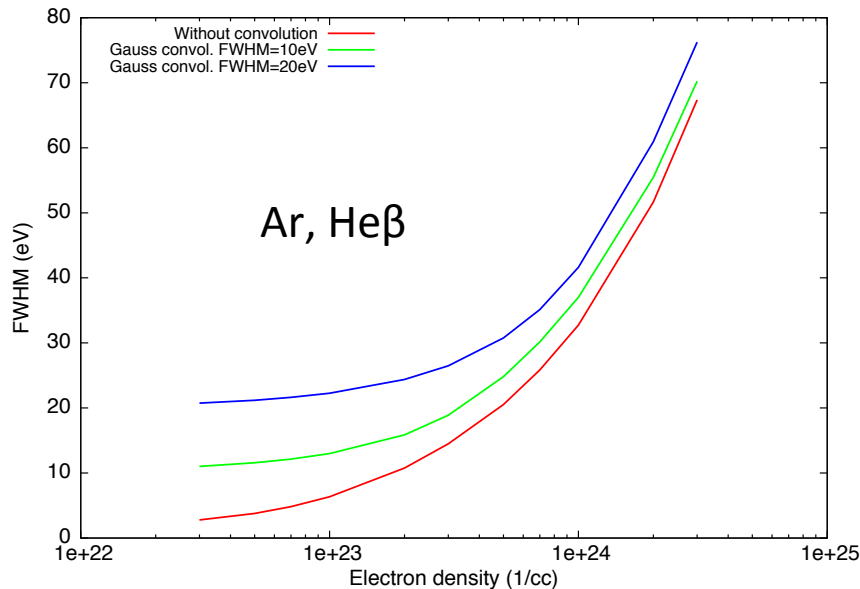
$$1s^2 \ ^1S_0 - 1s \ 3p \ ^1P_1$$

$$1s^2 \ ^1S_0 - 1s \ 3p \ ^3P_1$$

## H-like Ar Ly $\beta$

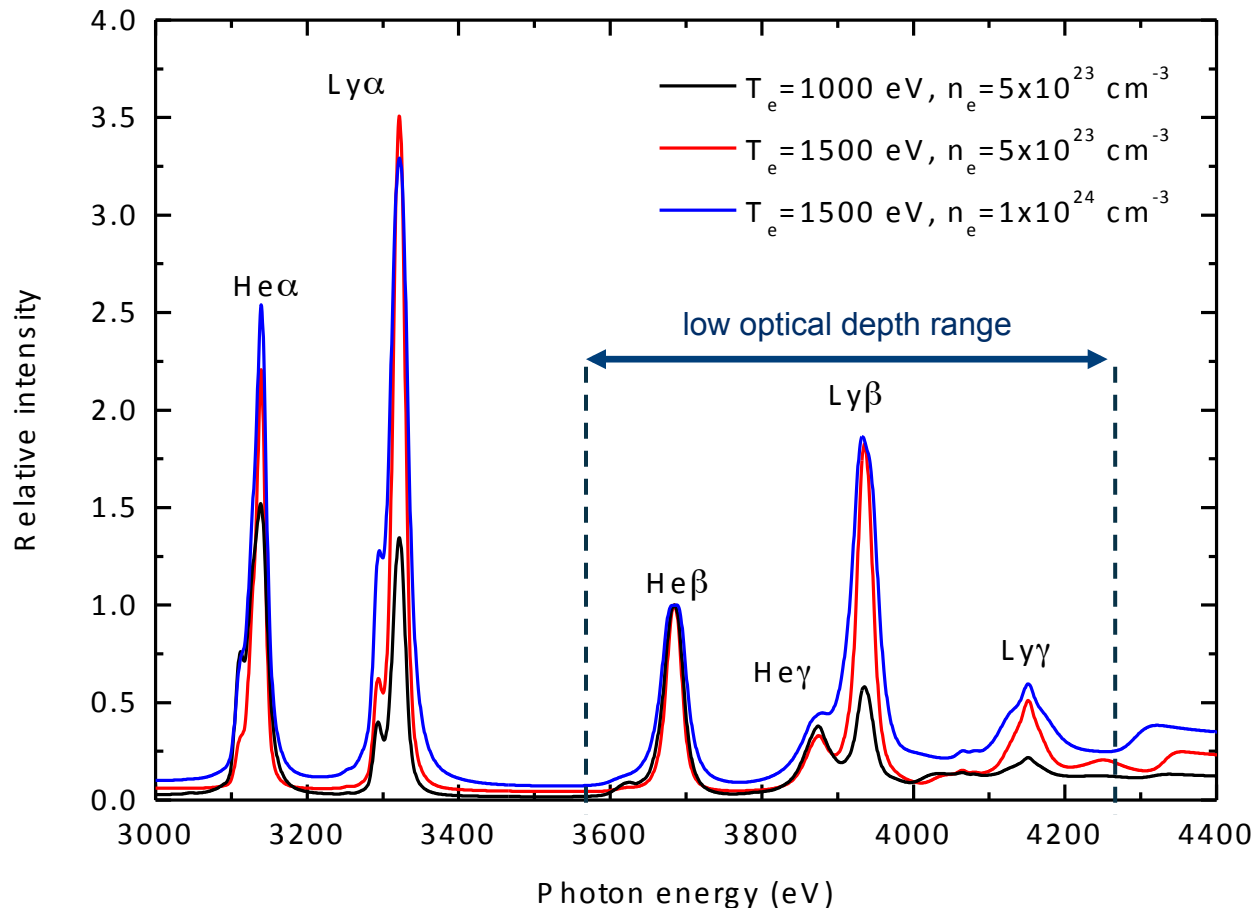
$$1s \ ^2S_{1/2} - 3p \ ^2P_{1/2}$$

$$1s \ ^2S_{1/2} - 3p \ ^2P_{3/2}$$



# $T_e$ and $N_e$ dependence of argon K-shell spectra

Emergent intensity distribution is  $T_e$  and  $N_e$  dependent through the sensitivities of level population distribution and Stark-broadened spectral line shapes



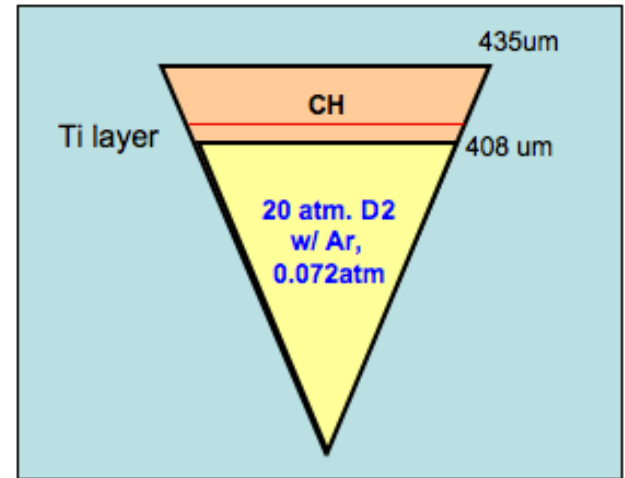
# The atomic physics of x-ray spectroscopy

- Modeling and interpretation of x-ray spectroscopy of ICF experiments relies on atomic physics
- The emergent intensity distribution is  $T_e$  and  $N_e$  dependent through the  $T_e$  and  $N_e$  sensitivity of the atomic level population distribution and the  $N_e$  dependence of the Stark-broadened spectral line shapes
- There is also dependence on  $T_i$  through the ion dynamics contribution to Stark broadening, Doppler broadening, and the ion microfield distribution function
- The results of the x-ray spectroscopy enable other discussions and findings relevant to ICF physics

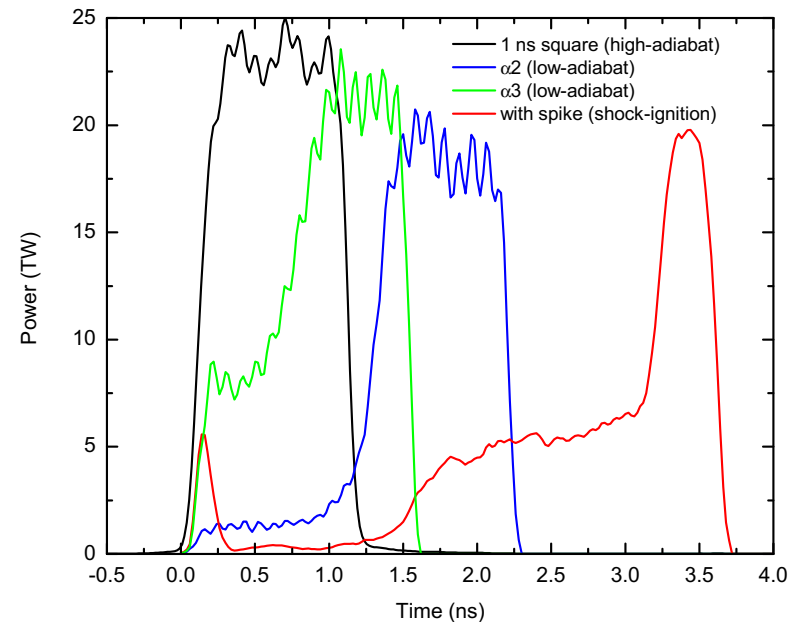


# OMEGA direct-drive implosions

- Amount of Ar and Ti tracers has to be small:
  - Not to change the hydrodynamics
  - To keep the optical depth of the line transitions used for spectroscopic analysis small
  - Ti-doped: 2% atomic/ $1\mu\text{m}$  thick embedded or 3-6% atomic/ $0.5\mu\text{m}$  thick on inner shell surface
  - 5, 10 or 20 atmospheres of  $\text{D}_2$  gas, doped with 0.072 atm of argon
  - Plastic shell thickness: 15, 20, 27, 40 microns

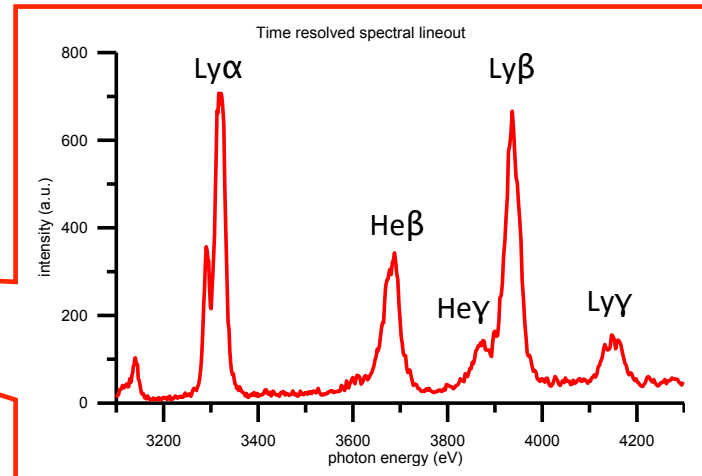
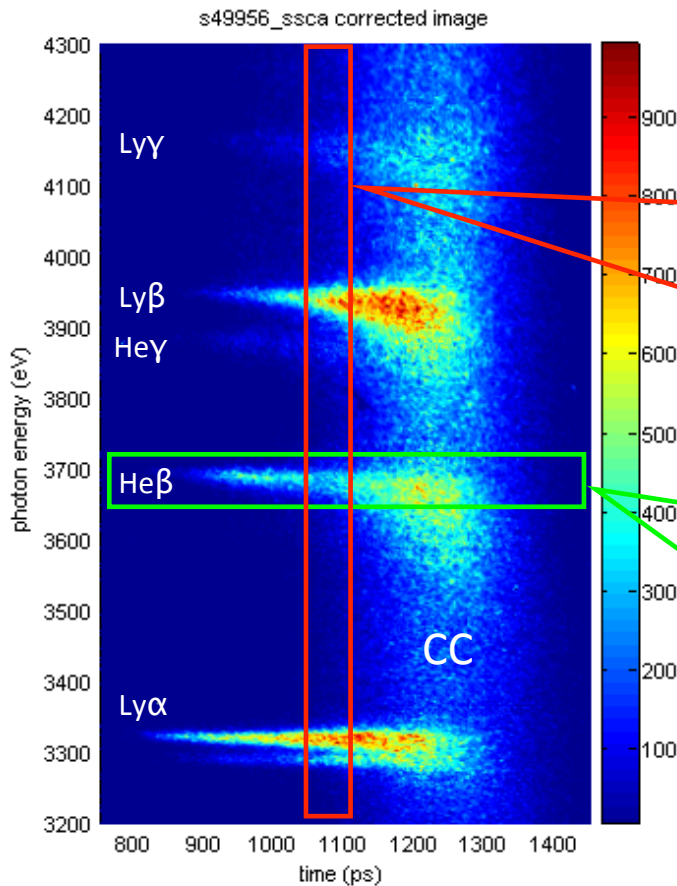


- Laser:
  - 1ns-sq,  $\alpha_2$ ,  $\alpha_3$  & shock-ignition pulse shapes
  - 60 beams, 20kJ to 23kJ UVOT
  - Smoothing: 2D-SSD/DPP-SG4/DPR
- Three x-ray spectrometers:
  - XRS1: crystal spectrometer (ADP)
  - SSCA: streaked, crystal spectrometer (RbAP)
  - MMI: multi-monochromatic x-ray imager (MLM)

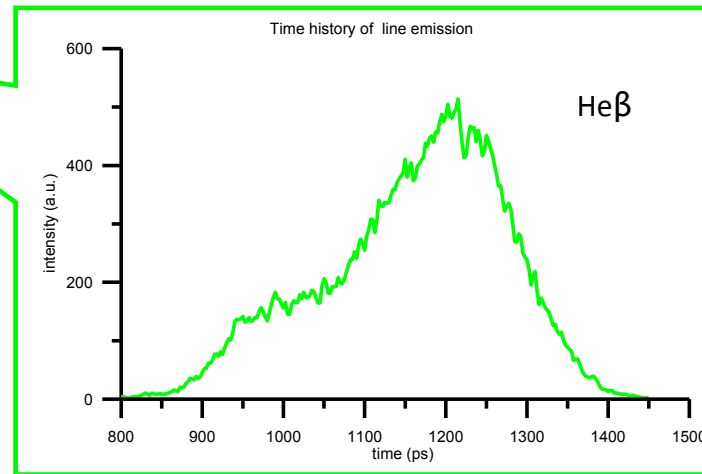


# Streaked spectrometer provides time histories

Streaked argon spectrum and compression continuum<sup>1,2</sup>



Time-resolved spectrum



Time history of narrow-band

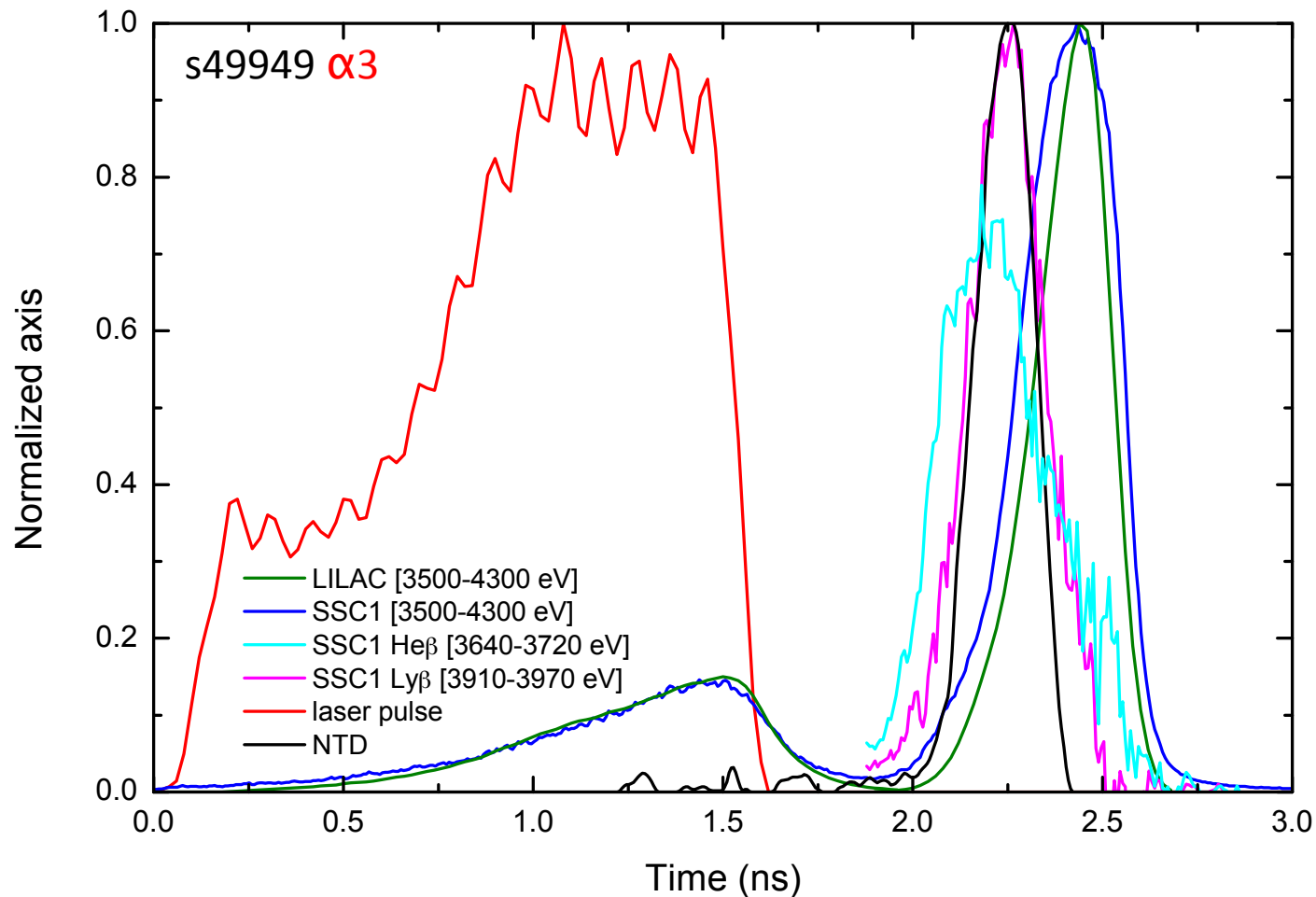
<sup>1</sup>S. P. Regan, J. A. Delettrez, R. Epstein, P. A. Jaanimagi, B. Yaakobi, V. A. Smalyuk, F. J. Marshall et al, Phys. Plasmas **9**, 1357 (2002)

<sup>2</sup>R. Florido, T. Nagayama, R. C. Mancini, R. Tommasini, J. Delettrez, S. P. Regan et al, Rev. Scientific Instruments **79**, 10E310 (2008)

# Diagnostic time-correlation based on physics events

Argon K-shell x-ray spectroscopy diagnoses core plasma burning conditions

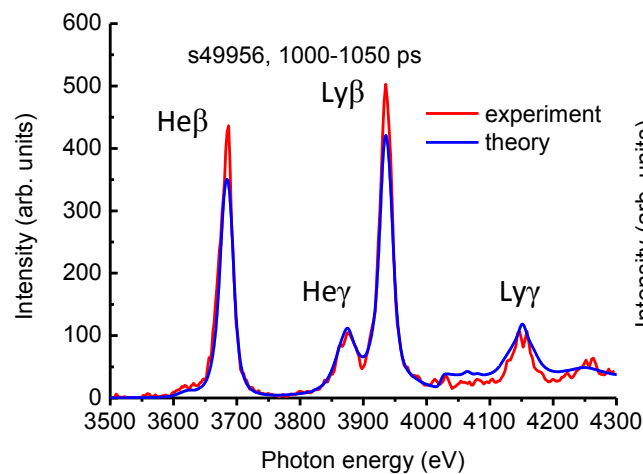
**SSC1:** x-ray crystal streaked spectrometer, **NTD:** neutron temporal diagnostic



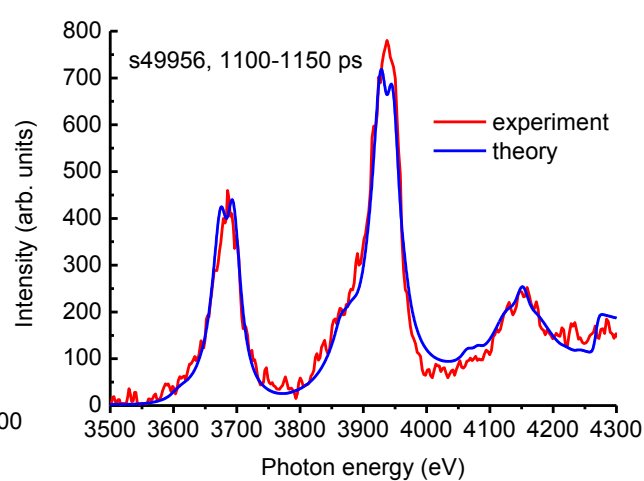
# Theory produces good approximations to data

Spectroscopic analysis is based on argon  $\beta$  (1-3) and  $\gamma$  (1-4) lines<sup>1</sup>

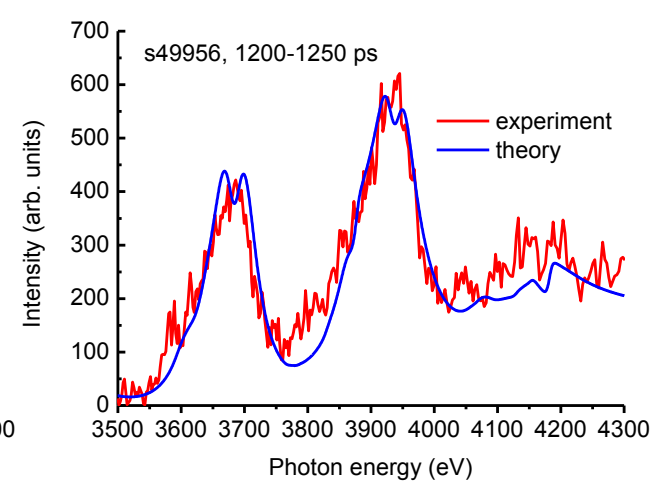
$T_e = 1300 \pm 70$  eV,  $n_e = 5.0 \pm 0.5 \times 10^{23}$  cm<sup>-3</sup>



$T_e = 1450 \pm 80$  eV,  $n_e = 1.4 \pm 0.2 \times 10^{24}$  cm<sup>-3</sup>



$T_e = 1270 \pm 70$  eV,  $n_e = 3.1 \pm 0.6 \times 10^{24}$  cm<sup>-3</sup>



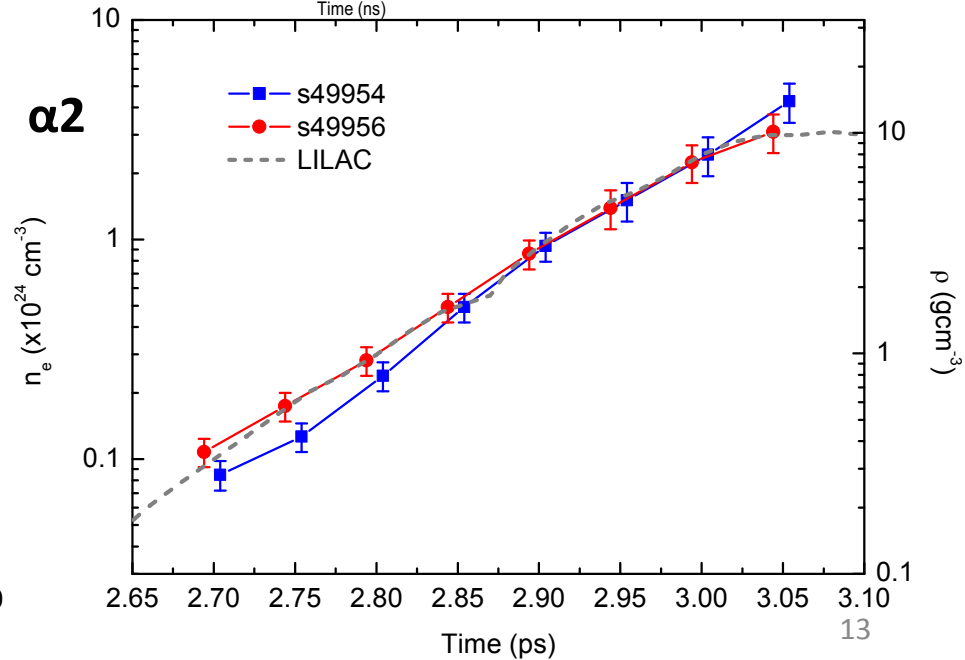
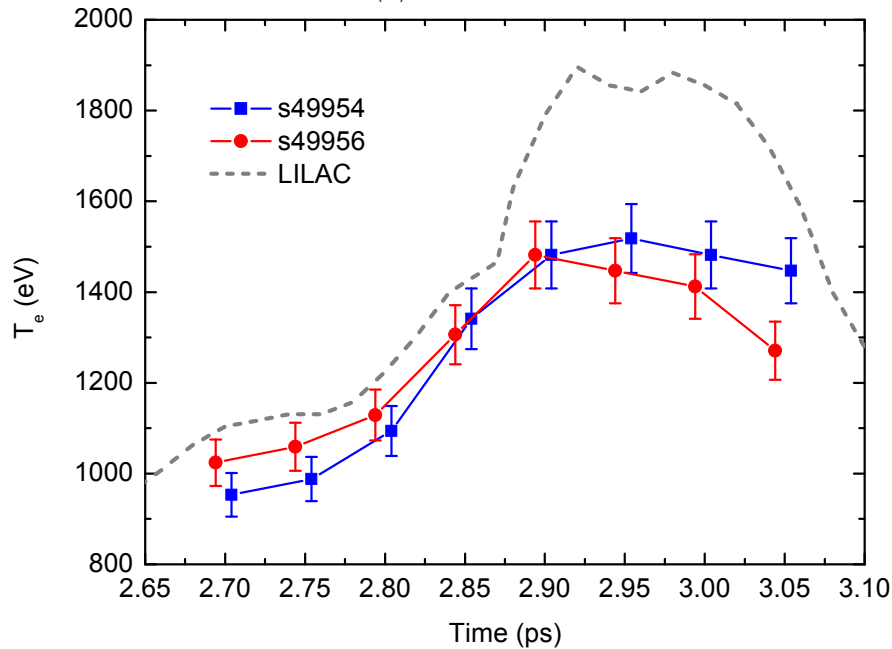
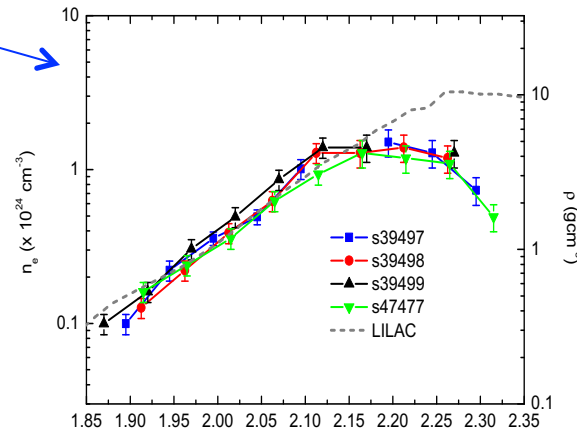
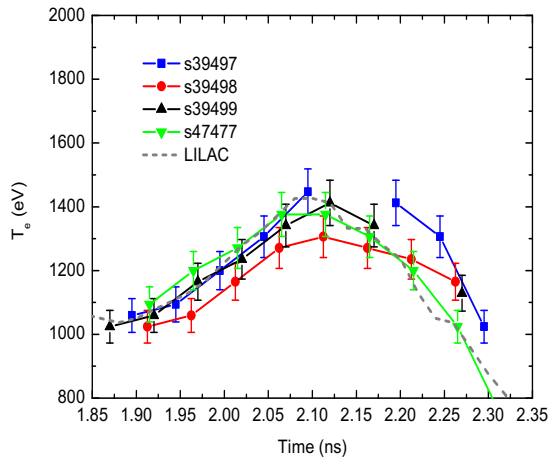
- Instrumental broadening included, FWHM=9eV
- Each spectrum is representative of  $\Delta t=50$ ps
- Steady state approximation<sup>2</sup> good for  $N_e > 1 \times 10^{22}$  cm<sup>-3</sup>
- $\rho$  [g/cm<sup>3</sup>]  $\approx 3.24 \times N_e$  [10<sup>24</sup> cm<sup>-3</sup>]
- Changes in tracer spectra reflect  $T_e$  and  $N_e$  conditions in core plasma

<sup>1</sup>R. Florido, R. C. Mancini, T. Nagayama, R. Tommasini, J. A. Delettrez, S. P. Regan, V. A. Smalyuk et al, High Energy Density Physics **6**, 70 (2010)

<sup>2</sup>R. Florido and R. C. Mancini, Journal of Physics B **48**, 224006 (2015)

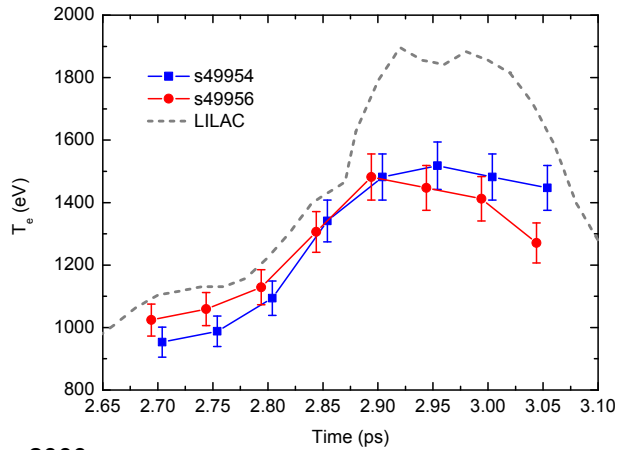
# $T_e$ and $N_e$ time-histories of $\alpha 2$ implosions

Low- ( $\alpha 2$ ) and high-adiabat (1ns-square) pulses drive different core hydrodynamics

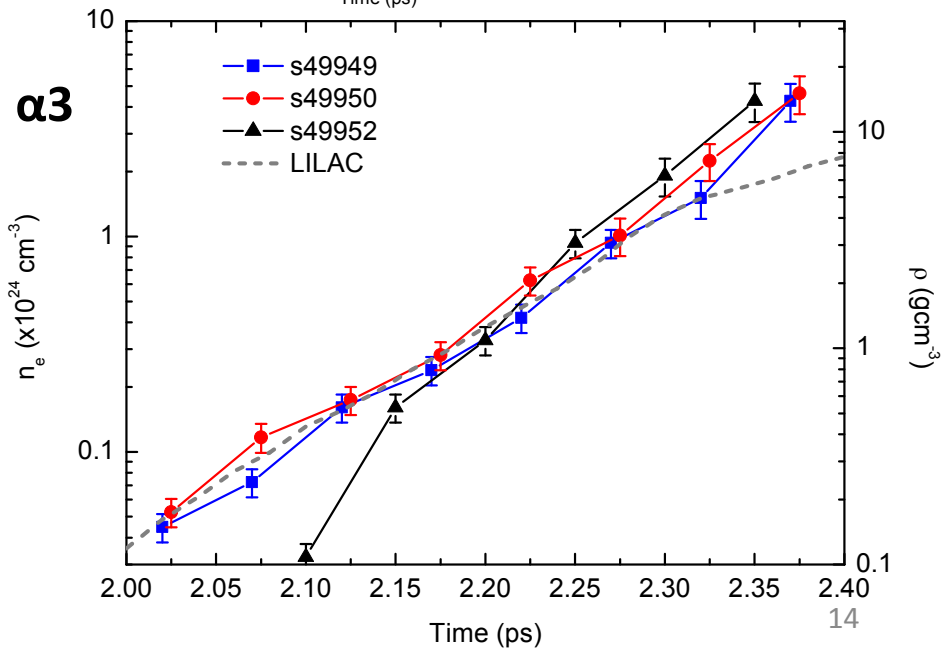
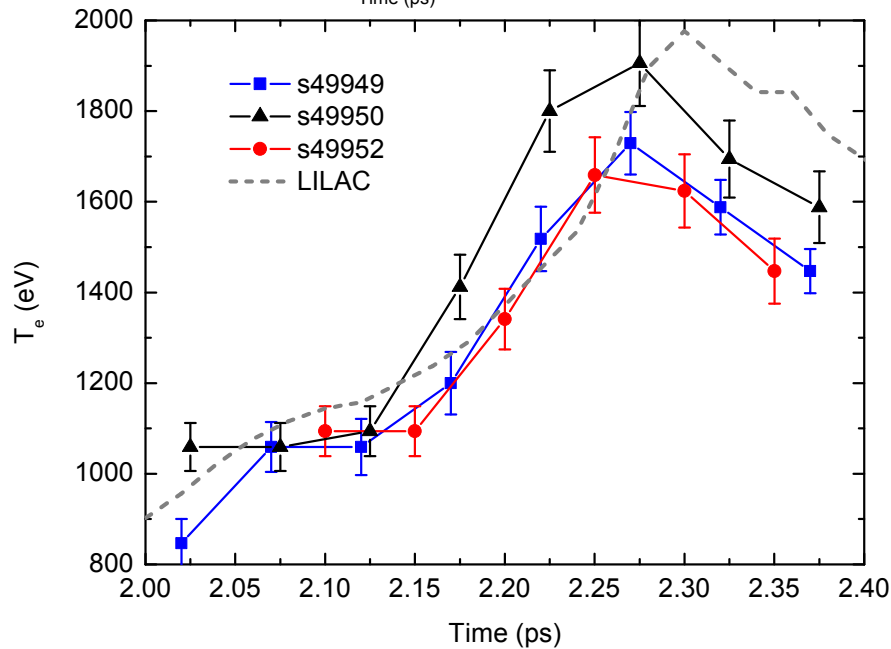
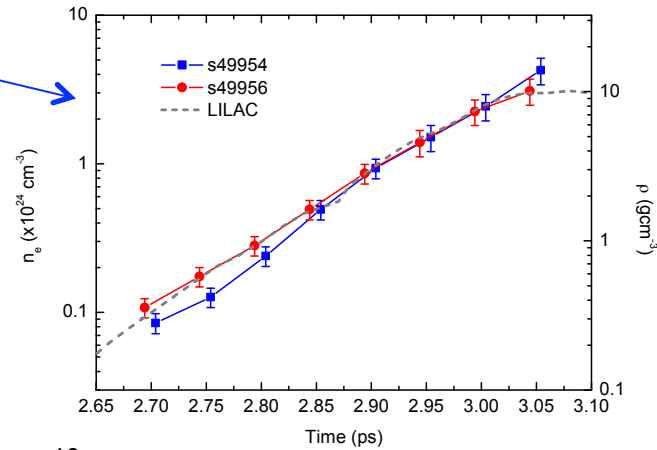


# $T_e$ and $N_e$ time-histories of $\alpha 3$ implosions

Low-adiabat  $\alpha 3$  produce more heating than  $\alpha 2$  and comparable compression

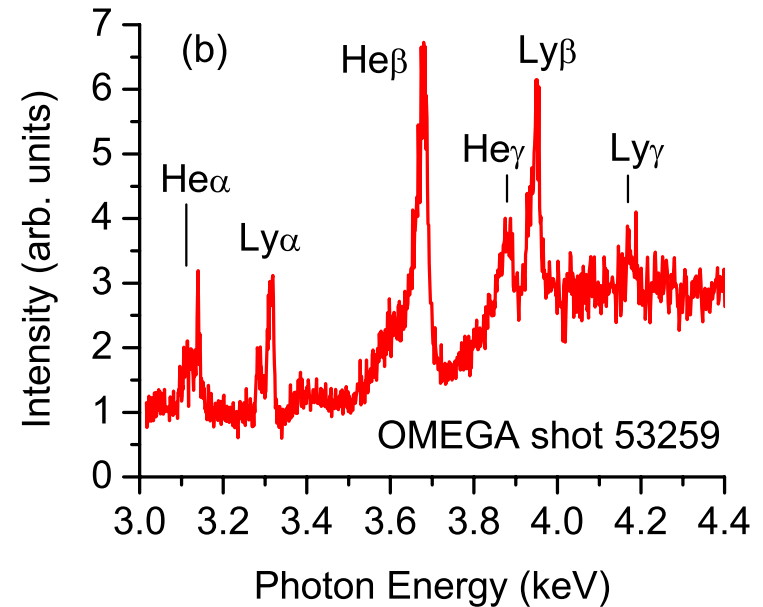
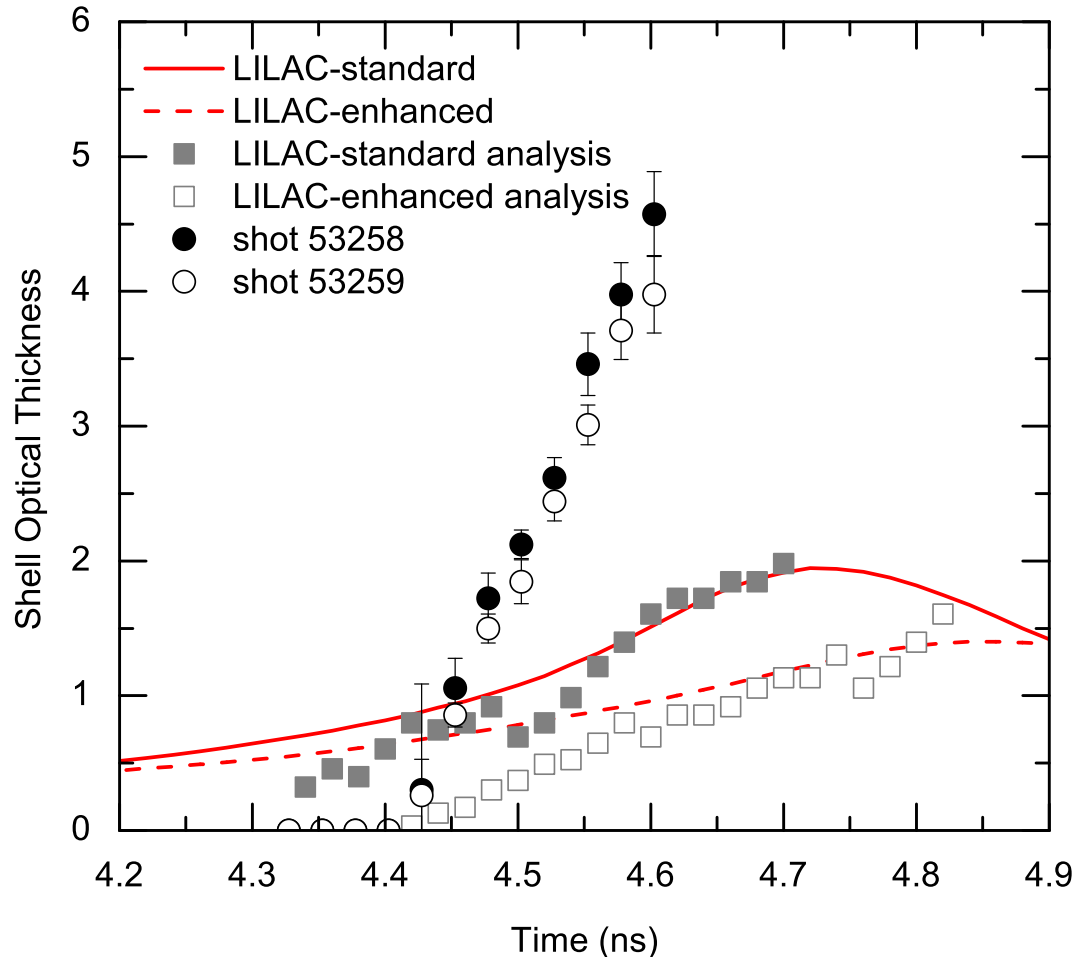


$\alpha 2$



# Time-history of SI dense shell optical depth

Experiment optical depth disagrees with simulation result<sup>1</sup>



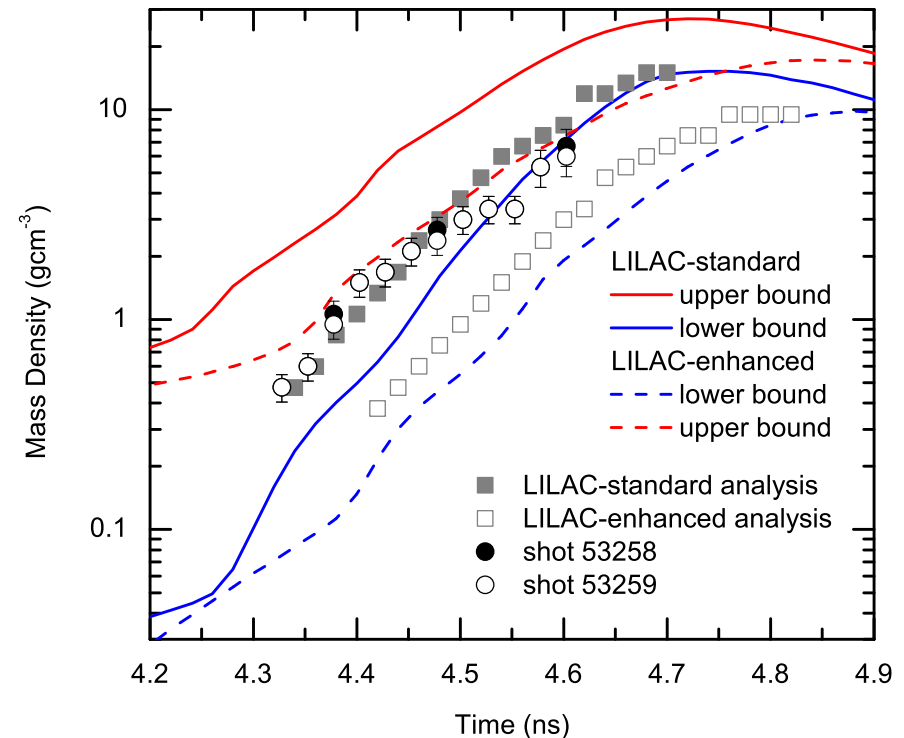
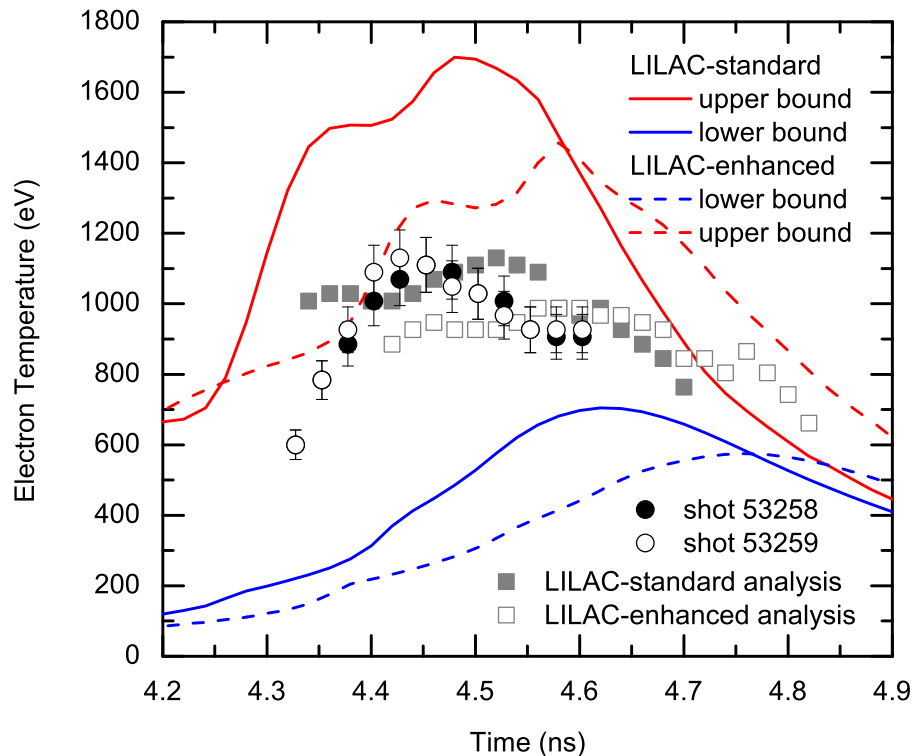
- Photon energy dependent attenuation produces a spectral modulation useful for dense shell diagnosis<sup>2</sup>

<sup>1</sup>R. Florido, R. C. Mancini, T. Nagayama, R. Tommasini, J. A. Delettrez, S. P. Regan and B. Yaakobi Phys. Review E **83**, 066408 (2011)

<sup>2</sup>B. Yaakobi, R. Epstein and F. J. Marshall, Phys. Rev. A **44**, 8429 (1991)

# $T_e$ and $N_e$ time-histories of SI implosion core

- Shock ignition (SI) implosion cores have also been diagnosed with x-rayspectroscopy<sup>1</sup>
- Thick shell (40 $\mu\text{m}$ ) produces a characteristic attenuation of the core's argon emission
- **Experiment and simulation show different core heating dynamics**



<sup>1</sup>R. Florido, R. C. Mancini, T. Nagayama, R. Tommasini, J. A. Delettrez and S. P. Regan, *Physics of Plasmas* **21**, 102709 (2014)



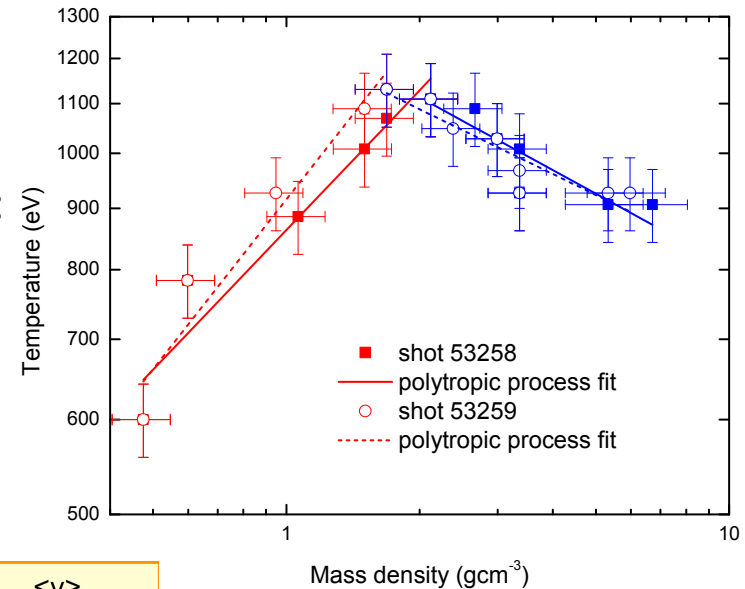
# Core compression: a polytropic process

The results of the spectroscopic analysis suggest an interpretation of the implosion deceleration and core compression as a two-stage polytropic process of index  $\gamma$

Shot	Type	Temperature	$\gamma$
49949	$\alpha 3$	increasing	$1.23 \pm 0.03$
		decreasing	$0.89 \pm 0.07$
49950	$\alpha 3$	increasing	$1.13 \pm 0.03$
		decreasing	$0.91 \pm 0.07$
49952	$\alpha 3$	increasing	$1.24 \pm 0.03$
		decreasing	$0.88 \pm 0.07$
49954	$\alpha 2$	increasing	$1.17 \pm 0.03$
		decreasing	$0.95 \pm 0.10$
49956	$\alpha 2$	increasing	$1.19 \pm 0.05$
		decreasing	$0.89 \pm 0.08$
53528	SI	increasing	$1.39 \pm 0.07$
		decreasing	$0.80 \pm 0.08$
53529	SI	increasing	$1.47 \pm 0.08$
		decreasing	$0.82 \pm 0.07$

Polytropic process:

$$T = C\rho^{\gamma-1}$$



Type	Temperature	$\langle \gamma \rangle$
$\alpha 3$	increasing	$1.20 \pm 0.03$
	decreasing	$0.89 \pm 0.07$
$\alpha 2$	increasing	$1.18 \pm 0.04$
	decreasing	$0.92 \pm 0.09$
SI	increasing	$1.43 \pm 0.08$
	decreasing	$0.81 \pm 0.08$

SI LILAC results

SI	LILAC std	LILAC enh
increasing	1.05	1.05
decreasing	0.72	0.82

- Differences in  $\gamma$  indicate differences in energy exchange between core and shell

# Implosion core energy balance analysis

X-ray spectroscopy results enable energy balance analysis of the core<sup>1</sup>

$$\text{Energy conservation: } \frac{dE}{dt} = \frac{d}{dt}(U + K) = \dot{W} + \dot{F} + \dot{J}$$

$U$ : internal energy,  $K$ : kinetic energy,  $W$ : volume work,  $F$ : heat flux,  $J$ : radiation flux

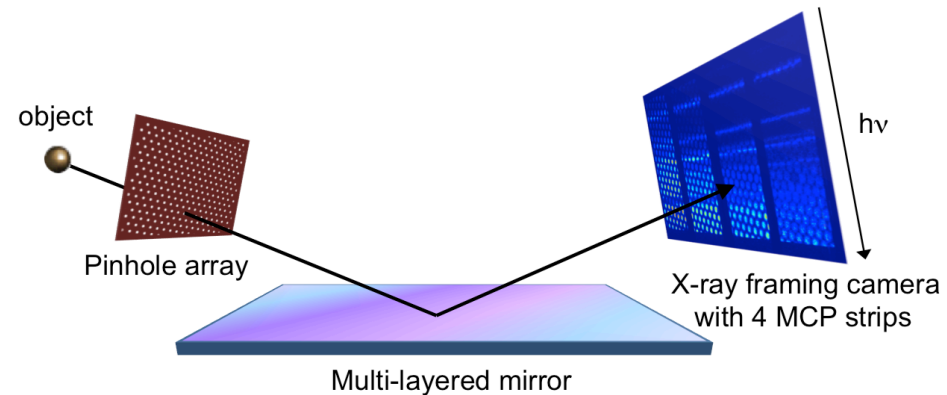
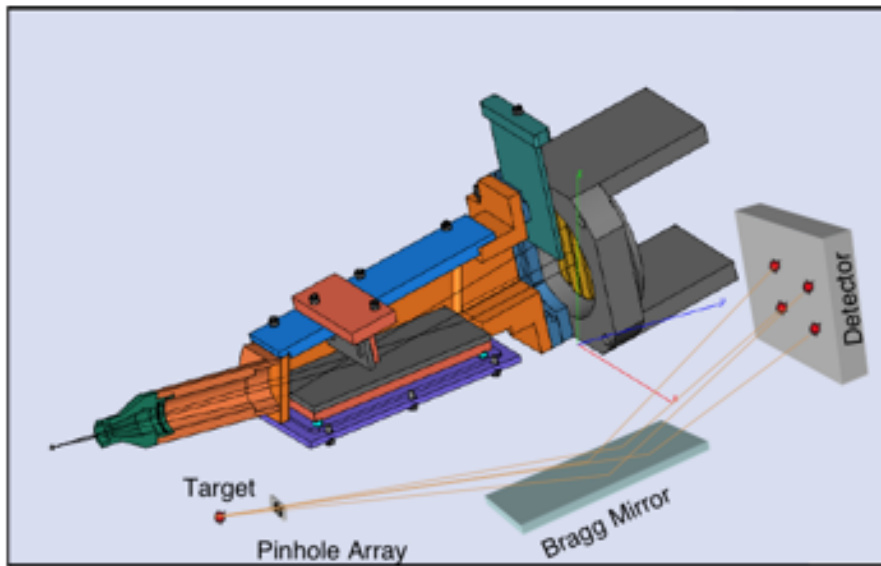
Energy terms ( $J$ )		LILAC simulation		Synthetic spectra analysis		Experiment	
		Standard	Enhanced	Standard	Enhanced	Shot 53258	Shot 53259
1st stage Increasing $T$	$\Delta W$	77.05	46.11	83.86	50.06	73.50	68.37
	$\Delta F$	-4.35	-2.32	-10.97	-7.93	-9.01	-7.61
	$\Delta J$	-28.21	-18.09	-16.11	-8.21	-8.16	-5.64
	$\Delta E$	44.49	25.70	56.78	33.92	56.33	55.12
2nd stage Decreasing $T$	$\Delta W$	84.38	55.13	84.75	63.41	92.89	104.92
	$\Delta F$	-10.88	-5.74	-9.48	-7.50	-11.26	-13.95
	$\Delta J$	-89.65	-49.94	-73.66	-46.53	-32.57	-34.56
	$\Delta E$	-16.15	-0.55	1.61	9.38	49.06	56.41
Entire Time interval	$\Delta W$	161.43	101.24	168.61	113.47	166.39	173.29
	$\Delta F$	-15.23	-8.06	-20.45	-15.43	-20.27	-21.56
	$\Delta J$	-117.86	-68.03	-89.77	-54.74	-40.73	-40.20
	$\Delta E$	28.34	25.15	58.39	43.30	105.39	111.53

<sup>1</sup>R. Florido, R. C. Mancini, T. Nagayama, R. Tommasini, J. A. Delettretz and S. P. Regan, *Physics of Plasmas* **21**, 102709 (2014)

# Spectrally resolved imaging with MMI

MMI  $\equiv$  multi-monochromatic x-ray imager

- The OMEGA MMI was jointly developed by a UNR-LLNL collaboration as part of NLUF projects<sup>1-4</sup>
- A pinhole-array coupled to a multi-layer Bragg mirror records arrays of gated, spectrally resolved images on a MCP based detector



<sup>1</sup>L. Welser, R. C. Mancini, J. A. Koch, S. Dalhed, R. W. Lee, I. E. Golovkin et al, Rev. Sci. Instrum. **74**, 1951 (2003)

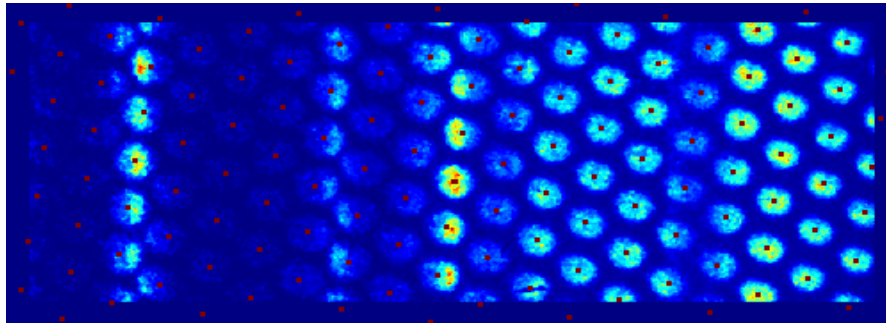
<sup>2</sup>J. A. Koch, T. W. Barbee, Jr., N. Izumi, R. Tommasini, R. C. Mancini et al, Rev. Sci. Instrum. **76**, 073708 (2005)

<sup>3</sup>R. Tommasini, J. Koch, N. Izumi, L. Welser, R. C. Mancini, J. Delettrez et al, Rev. Sci. Instrum. **77**, 10E303 (2006)

<sup>4</sup>T. Nagayama, R. C. Mancini, R. Florido, R. Tommasini, J. Koch et al, J. Applied Physics **109**, 093303 (2011)

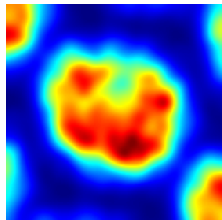
# MMI records arrays of spectrally resolved images

- Resolution:  $\Delta x \approx 10 \mu\text{m}$ ,  $E/\Delta E \approx 150$
- $M = 8.1$
- Frame separation: 100 ps

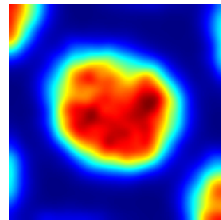


- Provided spatial sampling width is **smaller** than spectral width, MMI can be processed to obtain<sup>1,2</sup>:

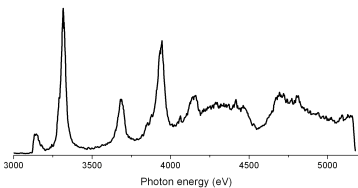
Narrow-band (He $\beta$ )



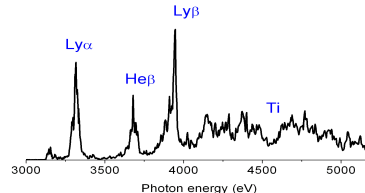
Broad-band



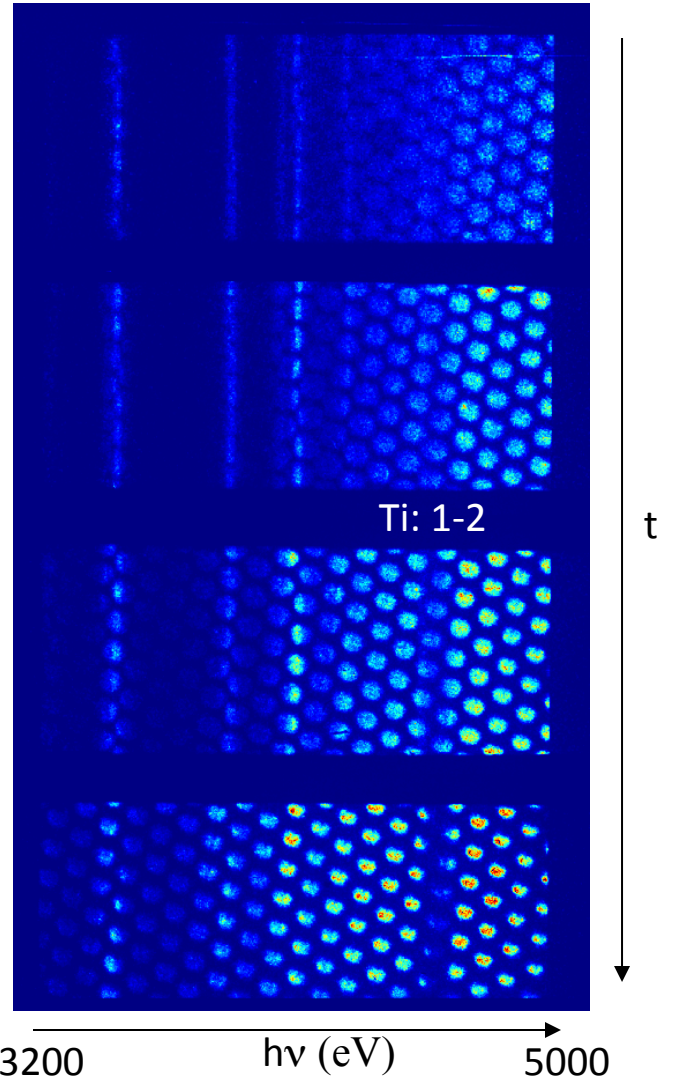
Space-integrated spectrum



Space-resolved spectrum



Ar: Ly $\alpha$     He $\beta$  Ly $\beta$



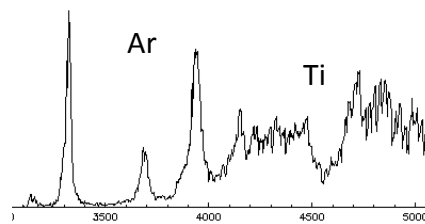
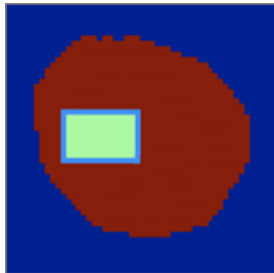
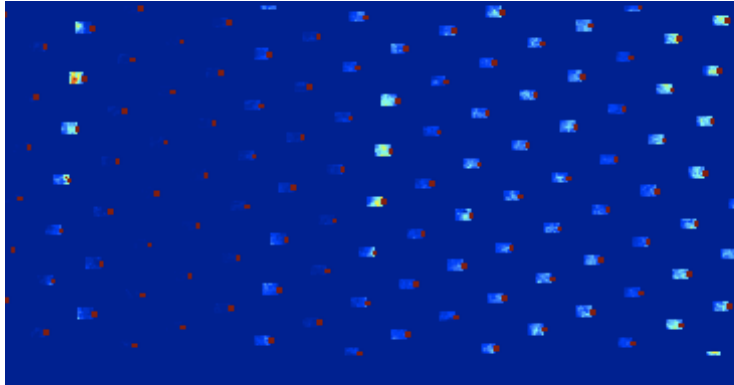
<sup>1</sup>T. Nagayama, R. C. Mancini, R. Mayes, R. Tommasini, R. Florido, High Power Laser Science and Engineering **3**, e23 (2015)

<sup>2</sup>R. C. Mancini, H. M. Johns, T. Joshi, D. Mayes, T. Nagayama, S. C. Hsu, J. Baumgaertel, J. Cobble et al, Phys. Plasmas **21**, 122704 (2014)

# Spatially resolved spectra from spectrally resolved images

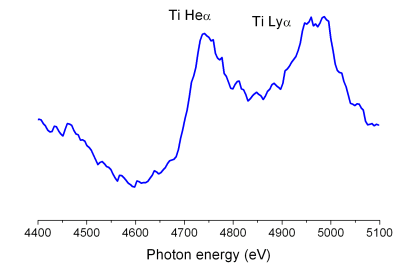
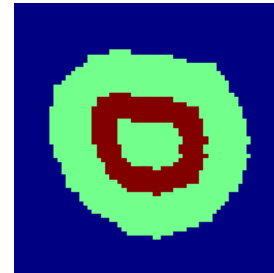
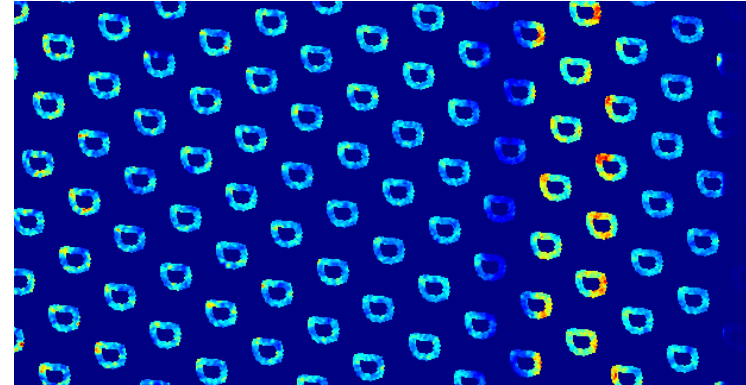
Contributions are taken **only** from a selected region of the image as a function of photon energy

## Rectangular regions



Useful for 3D polychromatic tomography<sup>1</sup>,  
and extraction of areal-density maps<sup>2,3</sup>

## Annular regions



Useful for tracking tracer distribution  
and migration into the core<sup>4</sup>

<sup>1</sup>T. Nagayama, R. C. Mancini, R. Florido, D. Mayes, R. Tommasini, J. A. Koch et al, Phys. Plasmas **19**, 082705 (2012)

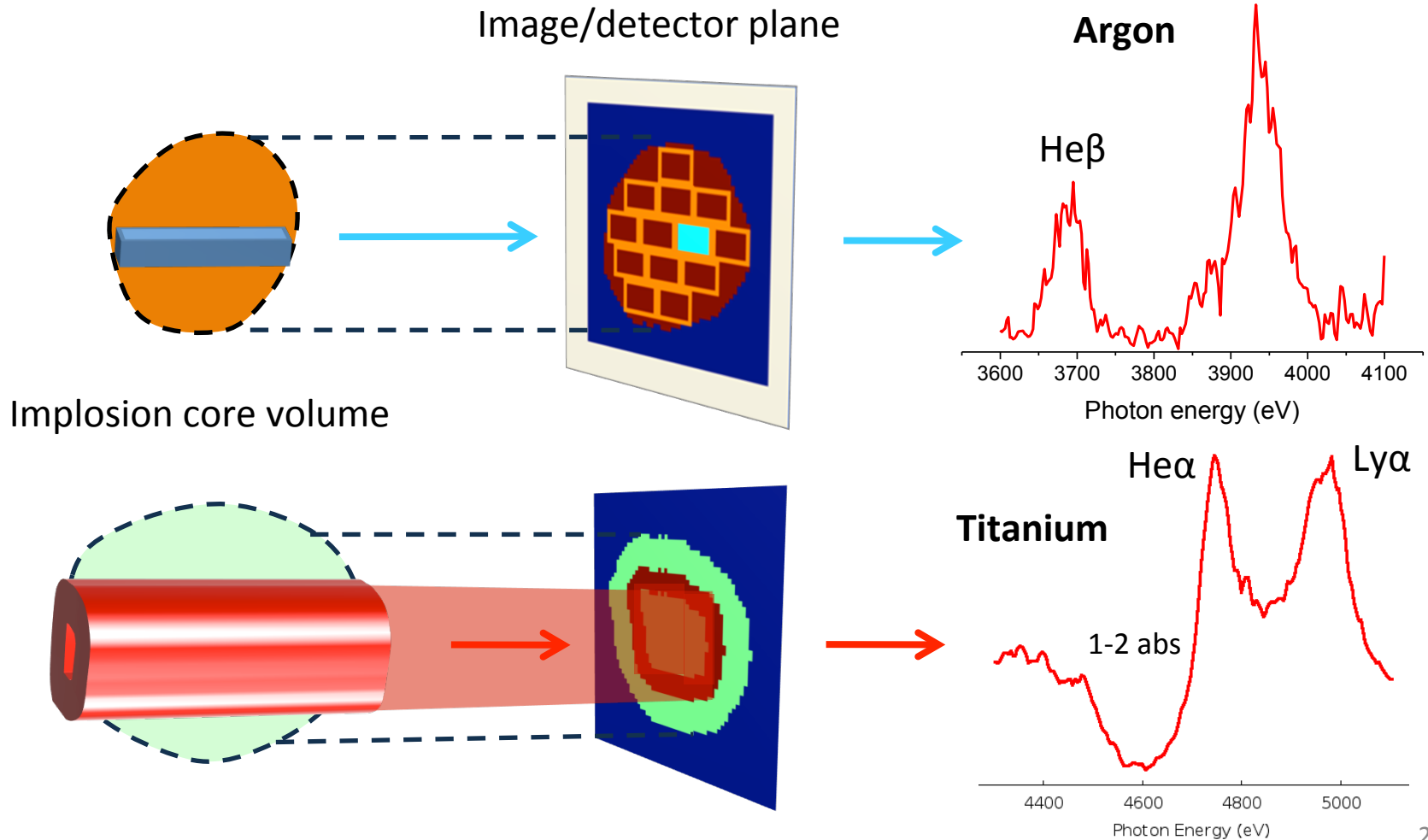
<sup>2</sup>H. M. Johns, R. C. Mancini, P. Hakel, T. Nagayama, V. Smalyuk, S. P. Regan et al, Phys. Plasmas **21**, 082711 (2014)

<sup>3</sup>H. M. Johns, R. C. Mancini, T. Nagayama, D. C. Mayes, R. Tommasini, V. A. Smalyuk et al, Phys. Plasmas **23**, 012709 (2016)

<sup>4</sup>T. R. Joshi et al, in preparation for publication

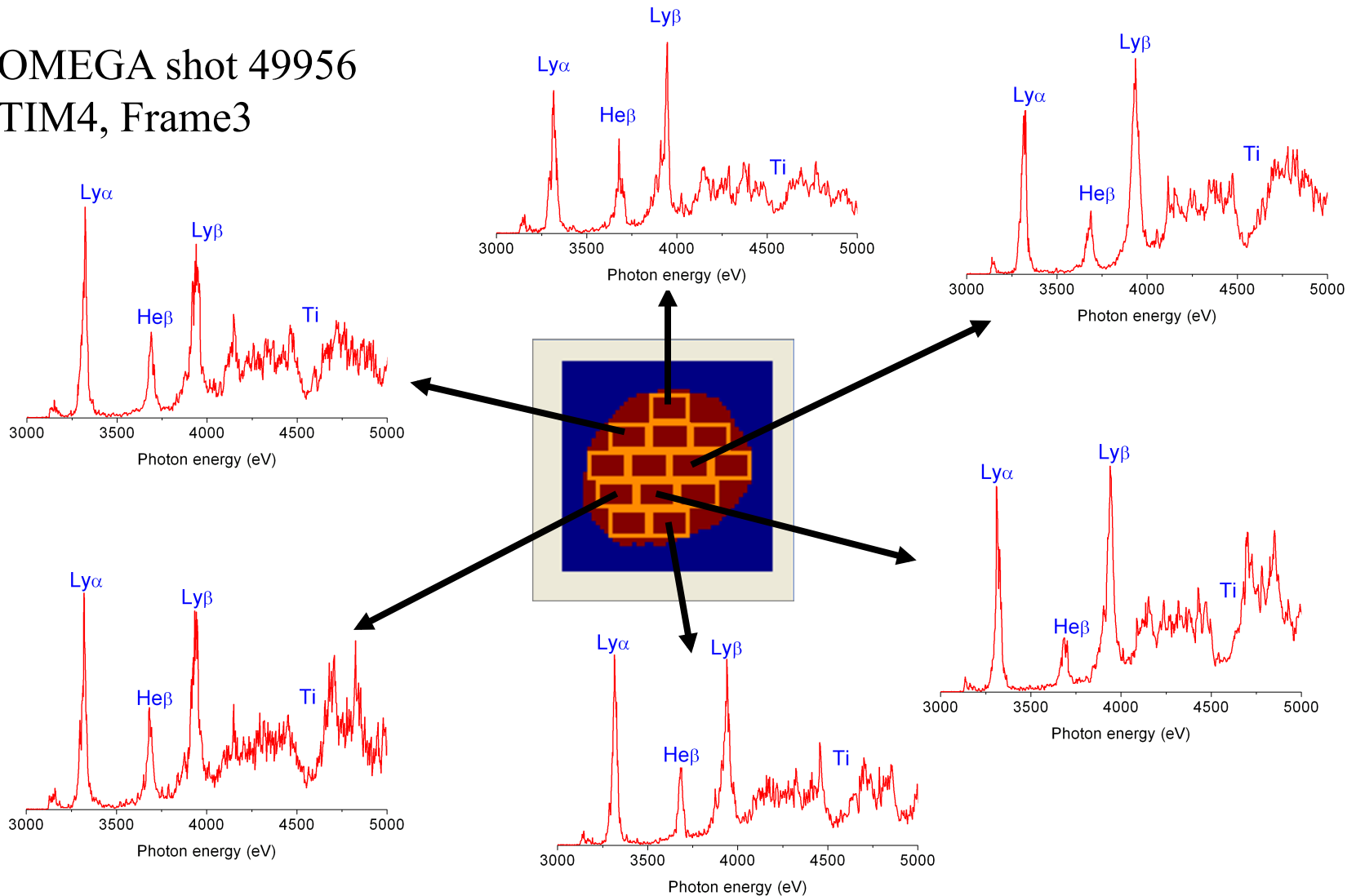
# Interpretation of spatially resolved spectra

- The selection of image region defines the domain of integration in the core<sup>1</sup>
- There is flexibility in the selection of the image region
- Limitations: spatial resolution, enough light



# Spatially resolved spectra from one LOS: TIM4

OMEGA shot 49956  
TIM4, Frame3



- **NOTE: independent analysis of each individual spectrum does not produce the spatial distribution of local  $T_e$  and  $N_e$  values inside the core**

# The idea of multi-objective data analysis

- **Motivation:** what information can be extracted by **simultaneously and self-consistently** analyzing multiple pieces of data that cannot be extracted by considering **only** each single piece of data on an individual basis?
- **Idea:** search parameter space for model input that produces the best approximation to multiple pieces of data (objectives)
- In plasma spectroscopy, the need for this analysis arose in connection with the unfolding of the temperature and density distribution in an implosion core<sup>1,2</sup>
- A single measurement cannot provide a spatial distribution of local values
- The idea is general and can be applied to any type/combination of data

<sup>1</sup>I. Golovkin, R. Mancini, S. Louis, Y. Ochi, K. Fujita, H. Nishimura et al, Physical Review Letters **88**, 045002 (2002)

<sup>2</sup>R. Mancini, Ch. 15 in “*Applications of Multi-Objective Evolutionary Algorithms*”, Eds. C. Coello-Coello and G. Lamont, World Scientific Pub. ISBN 981-256-106-4 (2004)



# Implementation of multi-objective analysis

- Need an optimization algorithm to conduct a smart search in parameter space, an exhaustive search is likely to be impractical
- Several options, we have primarily worked with genetic algorithms
- Genetic algorithms have successfully applied the mechanics of natural selection to a wide array of artificial problems<sup>1,2</sup>
- Pareto domination is a concept in multi-objective optimization that **tracks individual objectives** through the development of a Pareto front in fitness space
- A Pareto genetic algorithm (PGA) combines both concepts by including Pareto domination in the selection of members for the next generation<sup>3</sup>

<sup>1</sup>J. Holland, "Adaptation in natural and artificial systems" Pub. The University of Michigan Press, Ann Arbor (1975)

<sup>2</sup>D. Goldberg, "Genetic algorithms in search, optimization and machine learning" Pub. Addison-Wesley, Reading (1989)

<sup>3</sup>K. Deb, "Multi-Objective Optimization using Evolutionary Algorithms" Pub. John Wiley & Sons, New York (2001)

# Multi-objective analysis with a PGA

- Multi-objective data analysis driven by a PGA can be applied to any type/combination of data
- The details of the Pareto front provide information about the properties of the problem, e.g. consistency of objectives or subsets of objectives
- With spectroscopic data, this method has been applied to extract the spatial-structure of implosion cores using different types and number of objectives:

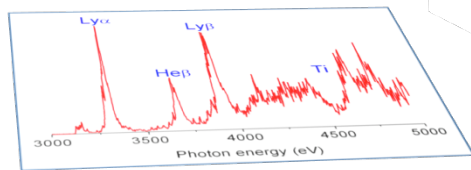
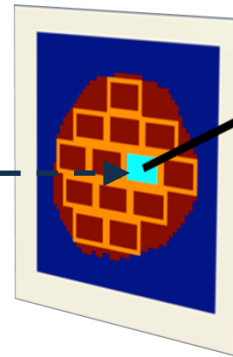
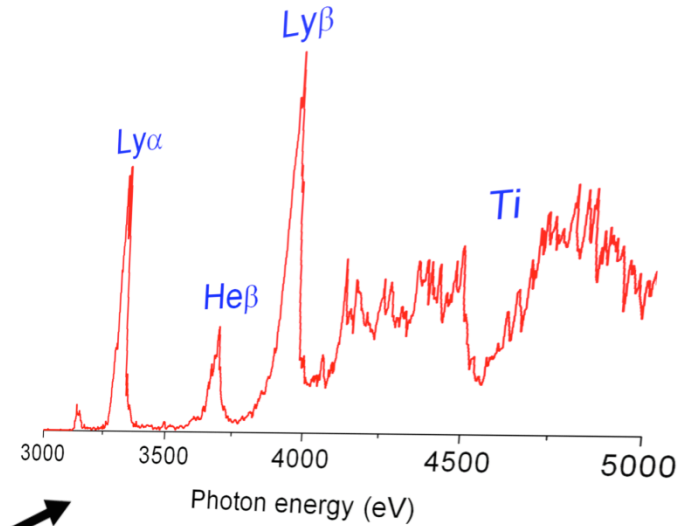
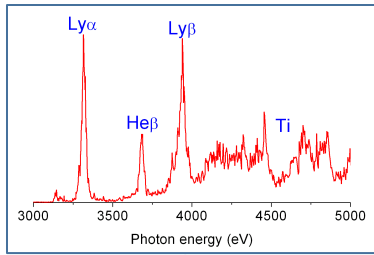
**1 image and 1 spectrum:** 1D  $T_e$  and  $N_e$  spatial profiles in GEKKO DD implosion cores, E. Golovkin, R. C. Mancini, S. Louis, Y. Ochi et al, Physical Review Letters **88**, 045002 (2002)

**2 images and 1 spectrum:** 1D  $T_e$  and  $N_e$  spatial profiles in OMEGA ID implosion cores, L. A. Welser, R. C. Mancini, J. Koch et al, J. Quant. Spec. Radiative Transfer **99**, 649 (2006)

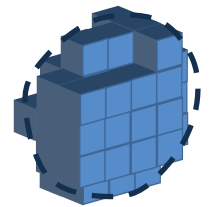
**3 images (op. thin and thick) and 1 spectrum:** quasi-2D  $T_e$ ,  $N_e$  profiles in OMEGA ID cores, L. A. Welser-Sherrill, R. C. Mancini, J. Koch, N. Izumi et al, Physical Review E **76**, 056403 (2007)

**Arrays of spatially resolved spectra:** 3D reconstruction of OMEGA DD implosion cores, T. Nagayama, R. C. Mancini, R. Florido, R. Tommasini et al, Phys. Plasmas **19**, 082705 (2012)

# Observations along three LOS provide the basis for a 3D reconstruction via polychromatic tomography<sup>1</sup>

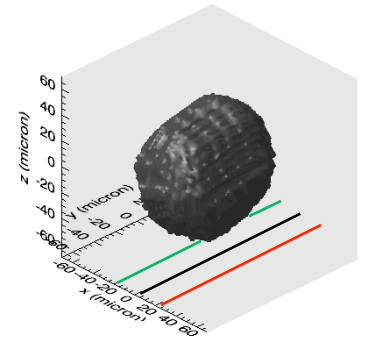


- Each space-resolved spectrum has temperature and density information integrated along chords parallel to each LOS and perpendicular to the image plane
- Each spatial region is located at a **unique** intersection of three chords
- Spatial regions are constrained by their contributions to spatially-resolved spectra recorded along three LOS



# Extracted 3D $T_e$ and $N_e$ spatial distribution

- Polychromatic tomography extensively tested with synthetic data<sup>1</sup>
- OMEGA shot 49956,  $\alpha 2$ , 3 MMI's mounted on TIM3/4/5,  $\Delta t = 100$ ps
- 141 spectra were used to extract  $T_e$  and  $N_e$  spatial structure
- $T_e$  tends to be larger in central region,  $N_e$  in the periphery
- 3D asymmetries in the spatial structures are observed

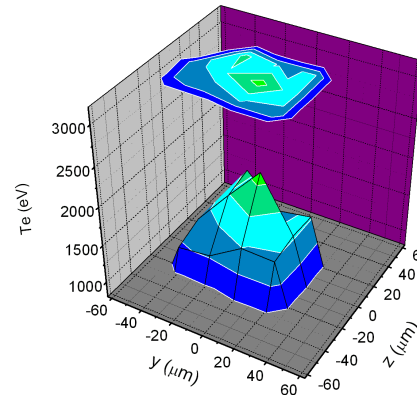
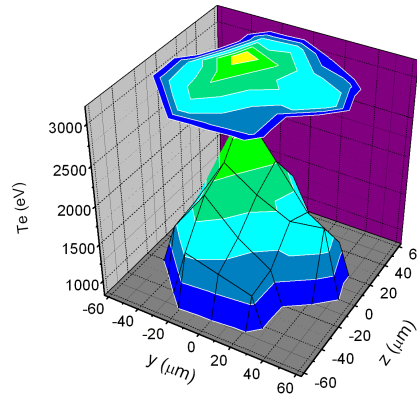
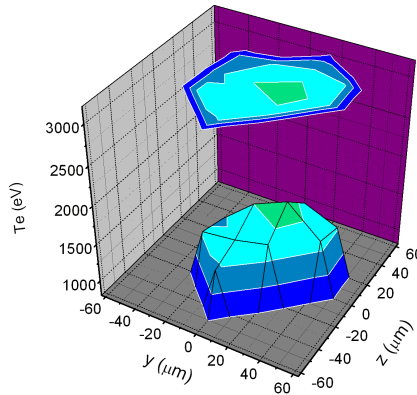


$x = -21 \mu\text{m}$

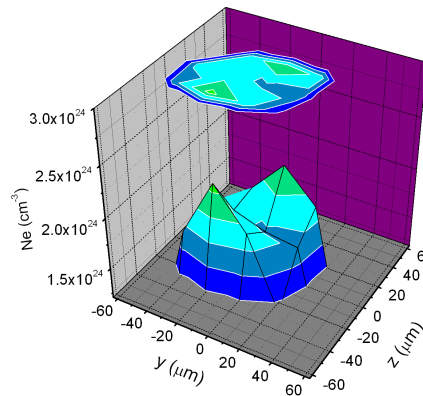
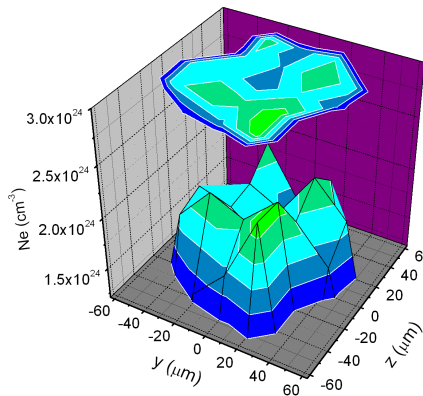
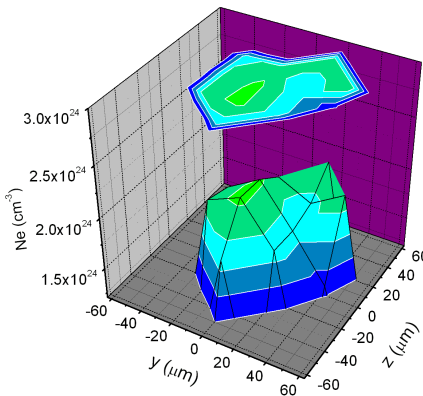
$x = 0 \mu\text{m}$

$x = 21 \mu\text{m}$

$T_e$  (eV)



$N_e$  ( $\text{cm}^{-3}$ )



Constrain  
parameter<sup>1</sup>:  
 $P_{\text{const}} = 2.9$

<sup>1</sup>T. Nagayama, R. C. Mancini, R. Florido, D. Mayes, R. Tommasini, J. Koch, J. Delettrez, S. P. Regan, V. Smalyuk, Phys. Plasmas **19**, 082705 (2012)

# The impact of atomic physics

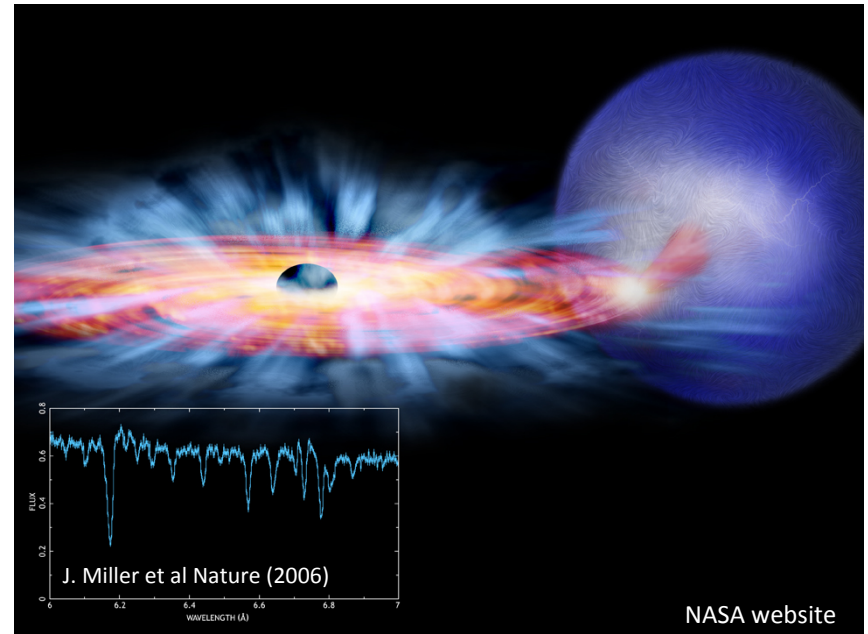
- Atomic processes and plasma atomic kinetics determine,
  - ion charge state distribution and level populations
  - photon energy dependent emissivity and opacity
  - emission, absorption and transport of radiation
  - also to some extent, EOS and thermal transport
- Plasma perturbations result in Stark broadened line shapes
- As a consequence, atomic physics plays a key role in **both** the diagnosis and modeling of plasmas
- We illustrate and discuss these ideas with two examples,
  - plasma diagnosis: tracer x-ray spectroscopy of inertial confinement fusion implosions
  - **plasma modeling: heating of photoionized plasmas driven by a broadband x-ray flux**

# Photoionized plasmas

- Widespread in space, e.g. active galactic nuclei warm absorbers, x-ray binaries, accreting disk surrounding black holes
- Plasma ionization is driven by an intense, broadband distribution of photons
- Unlike plasmas driven by a distribution of particles, photoionization and photoexcitation dominate atomic kinetics and drive plasma formation
- The complexity of the astrophysical environment makes the spectral analysis challenging → laboratory experiments are important<sup>1</sup>

## Goals

- Perform systematic well characterized photoionized plasma experiments in the laboratory relevant to astrophysics
- Focus on plasma heating, ionization, and radiative properties
- **Guided by experimental observation, we seek to test and establish what physics models are needed to describe the plasma**

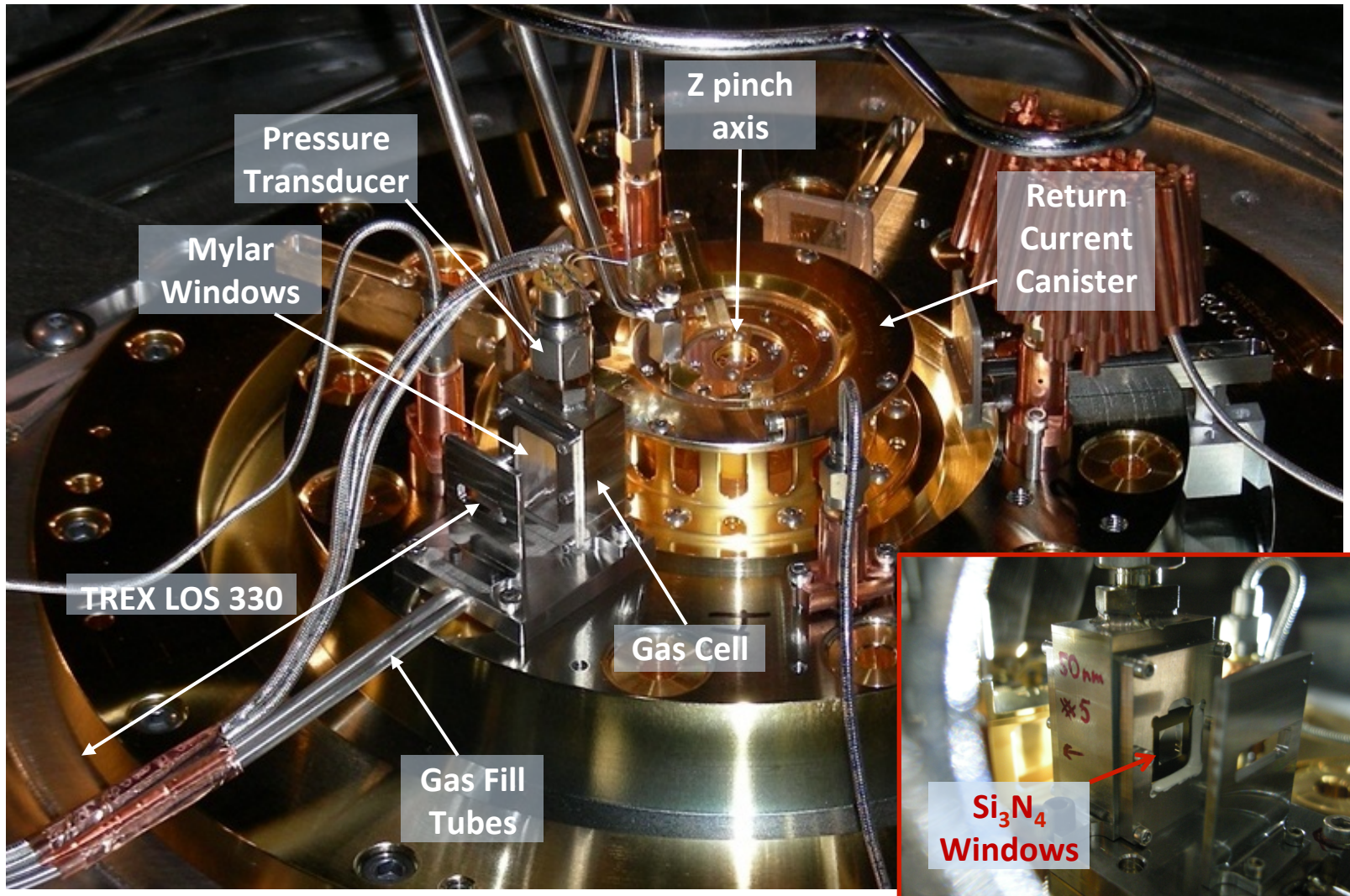


Artists impression of binary system GRO J1655-40, 11,000 lights years away in constellation Scorpius

<sup>1</sup>R. C. Mancini, J. E. Bailey, J. F. Hawley, T. Kallman et al, Phys. Plasmas **16**, 041001 (2009)

# Experimental set up at Z

Experiments performed for filling pressures of neon in the range: 3.5 - 30 Torr<sup>1</sup>

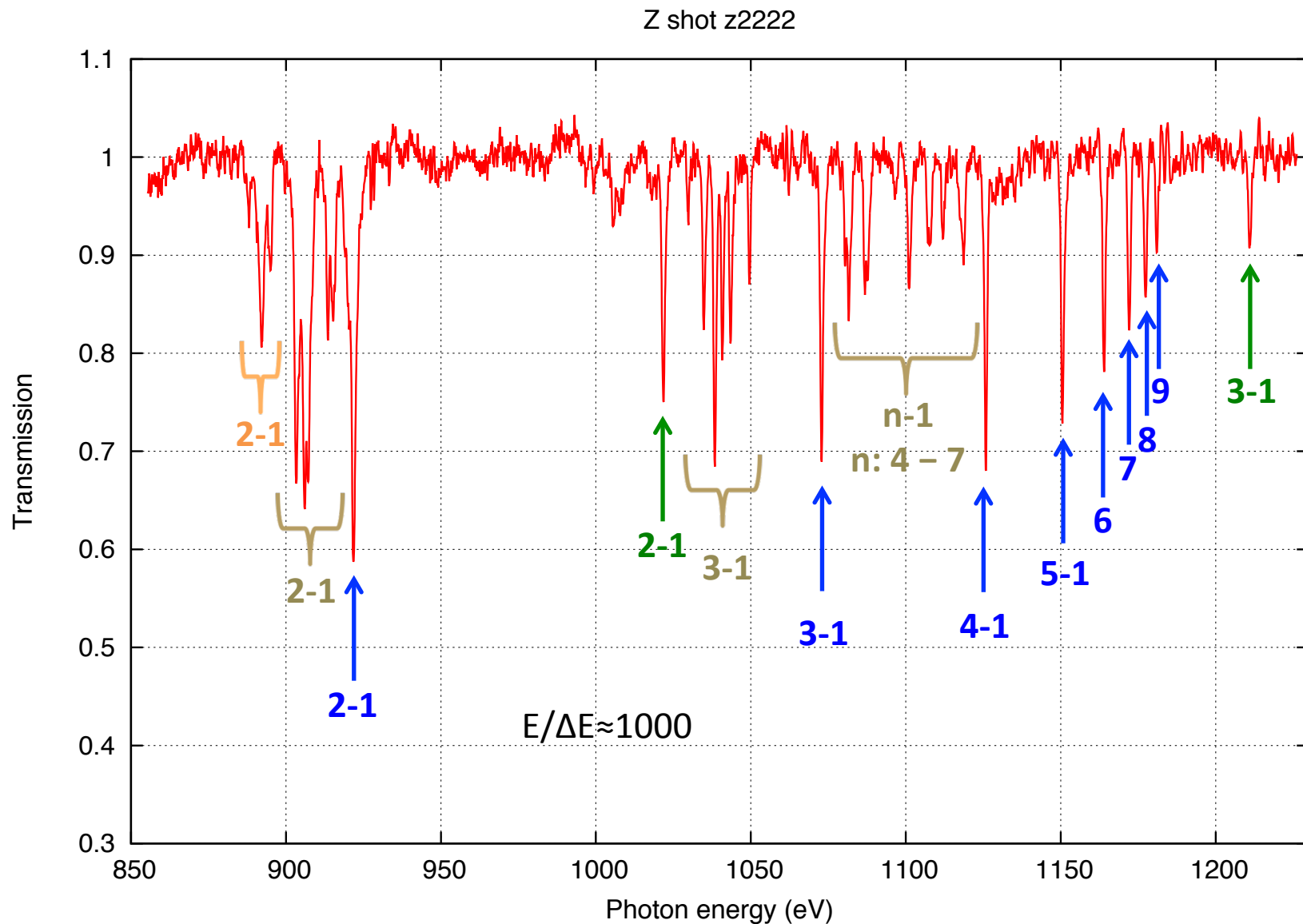


<sup>1</sup>I. M. Hall, T. Durmaz, R. C. Mancini, J. E. Bailey, G. A. Rochau et al, Phys. Plasmas **21**, 031203 (2014)

<sup>2</sup>ZAPP collaboration: G. A. Rochau, J. E. Bailey, R. E. Falcon, G. P. Loisel et al, Phys. Plasmas **21**, 056308 (2014)

# Transmission spectrum displays K-shell line absorptions

Lines in **Be-**, **Li-**, **He-** and **H-like** ions show a highly ionized neon plasma





# Extraction of charge state distribution

- Is it possible to extract the charge state distribution from data analysis without doing atomic kinetics calculations?

- Assume most of the population is in ground and low-excited states of ionization stages of Neon:  $1s^2 2s^2$ ,  $1s^2 2s 2p$ ,  $1s^2 2p^2$ ,  $1s^2 2s$ ,  $1s^2 2p$ ,  $1s^2$ ,  $1s$

- Transmission  $T_\nu = e^{-\tau_\nu}$ , optical depth  $\tau_\nu = k_\nu L$

- Opacity has many contributions  $k_\nu = \sum_{l,u} \sigma_\nu^{l,u} N_l$ ,  $\sigma_\nu = \frac{\pi e^2}{m_e c} f_{l,u} \phi_\nu$
- Substituting  $T_\nu = e^{-\sum_{l,u} \sigma_\nu^{l,u} N_l L}$

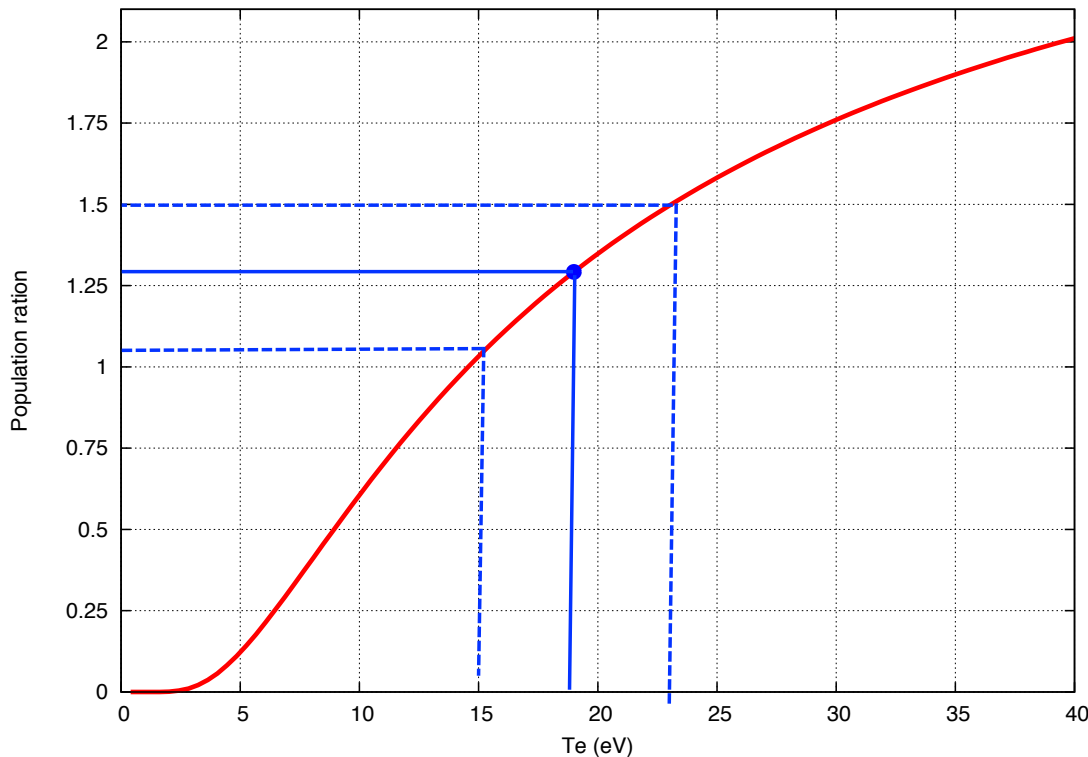
- **Idea:** search for **areal-densities**  $N_l L$  that yield the best fit to the experimental photon-energy dependent transmission

- How do we drive the search in parameter space? → Genetic Algorithm<sup>1</sup>

<sup>1</sup>I. E. Golovkin, R. C. Mancini, S. J. Louis et al, J. Quantitative Spectroscopy Radiative Transfer **75**, 625 (2000)

# Electron temperature $T_e$ estimation

- Li-like neon population ratio  $1s^22p/1s^22s$  is dominated by collisions
- This population ratio can be determined from the analysis of the transmission spectrum, and used to extract  $T_e = 19 \pm 4 \text{ eV}$
- Tested with atomic kinetics modeling, and synthetic data analysis
- Also applied in Si photoionized plasma experiment



$$R = g e^{-\frac{\Delta E}{kT_e}}$$

$$g = 3$$

$$\Delta E = 16 \text{ eV}$$

$$\frac{\delta T_e}{T_e} = \left( \frac{kT_e}{\Delta E} \right) \frac{\delta R}{R}$$

# Modeling strategy: three parts

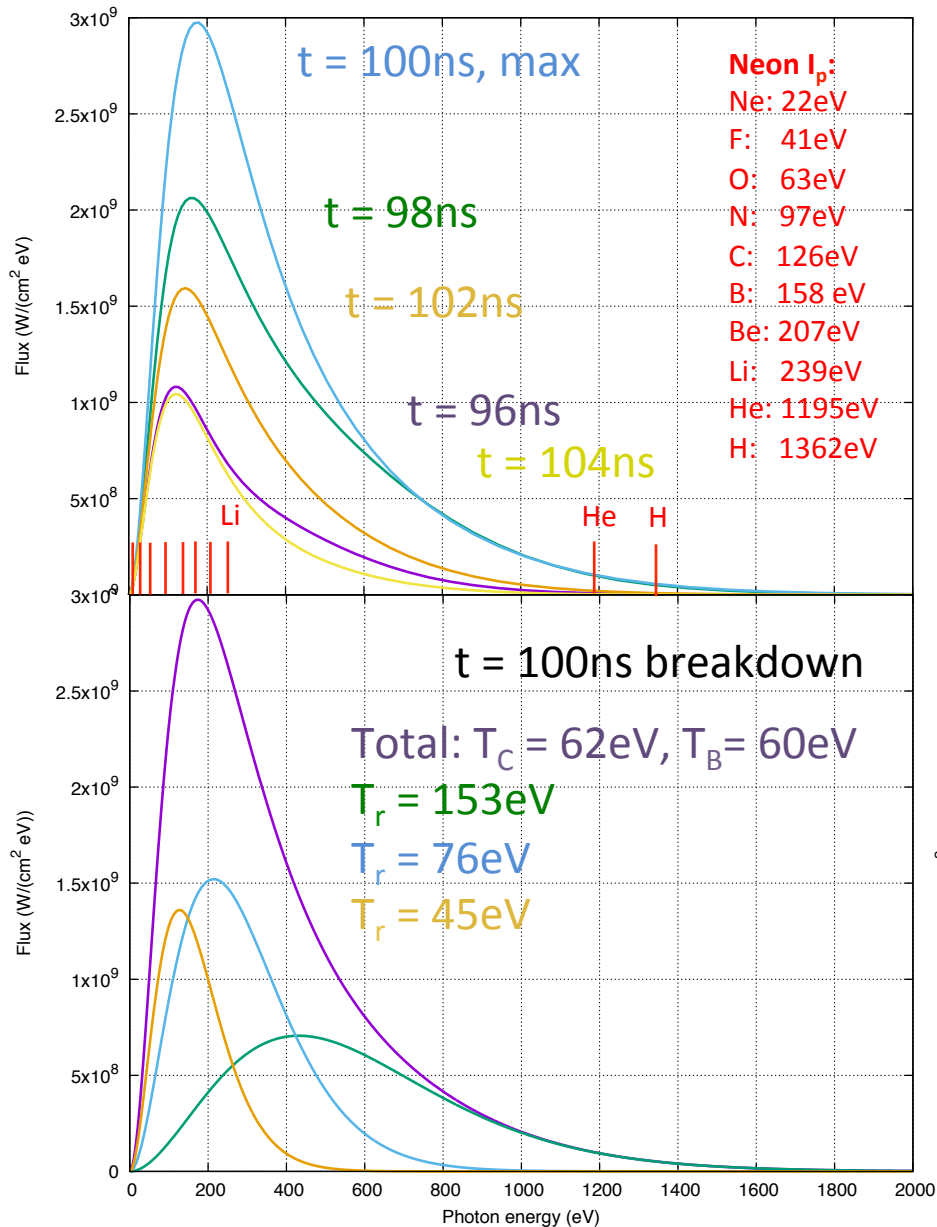
- X-ray flux
  - 3D view-factor code VISRAD<sup>1</sup> to model x-ray flux impinging on photoionization sample, constrained by experimental measurements
- Boltzmann electron kinetics
  - Self-consistent and simultaneous electron and configuration-average atomic kinetics<sup>2</sup> to determine the electron distribution function and a first approximation of the ion charge-state distribution
- Radiation hydrodynamics
  - Assuming electrons are in equilibrium, HeliosCR<sup>3</sup> radiation-hydrodynamics simulations of the experiment to account for the effects of window transmission, hydrodynamics, non-equilibrium atomic physics, and photon-energy resolved radiation transport

<sup>1</sup>J. J. MacFarlane, J. Quantitative Spectroscopy Radiative Transfer **81**, 287 (2003)

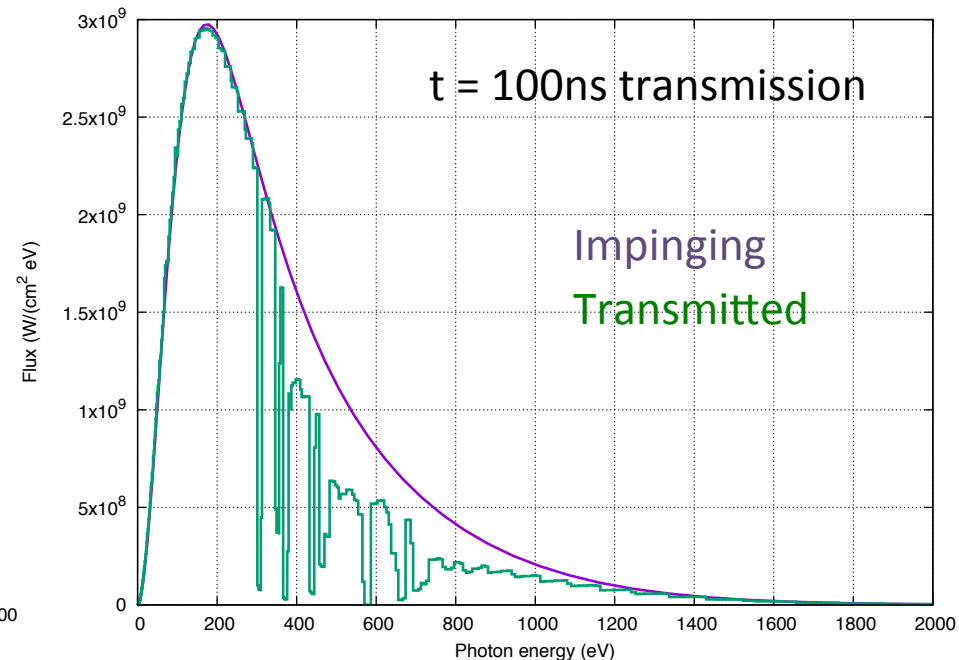
<sup>2</sup>J. Abdallah, Jr. et al, J. Physics B **45**, 035701 (2012)

<sup>3</sup>J. J. MacFarlane, I. E. Golovkin and P. R. Woodruff, J. Quantitative Spectroscopy Radiative Transfer **99**, 381 (2006)

# X-ray drive spectral distribution



- Modeled with view factor code VISRAD constrained with x-ray power, pinch size, and source brightness distribution data
- Well approximated with three scaled Planckian distributions
- Transmission through Mylar window attenuates x-ray drive



# Boltzmann electron kinetics

- Self-consistent solution of time-dependent electron and atomic kinetics<sup>1-4</sup>
- Electron kinetics: Boltzmann equation for electron distribution function
- Assume uniform plasma, zero-D model, and no external forces

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\vec{p}}{m} \cdot \vec{\nabla}_r f + \frac{\vec{F}}{m} \cdot \vec{\nabla}_v f \approx \frac{\partial f}{\partial t} = K_{ee}(f) + K_{inel}(\vec{N}, f)$$

- $K_{ee}$ : electron-electron elastic scattering
- $K_{inel}$ : electron-ion atomic processes

- Atomic kinetics: set of collisional-radiative (CR) atomic rate equations

$$\frac{d\vec{N}}{dt} = A(f)\vec{N}$$

- Input: total atom number density from neon gas fill pressure,  
time-history of spectrally resolved x-ray flux  $F_\nu(t)$

<sup>1</sup>J. Abdallah, Jr. et al, Phys. Rev. A **68**, 63201 (2003)

<sup>2</sup>M. E. Sherrill et al, J. Quant. Spectrosc. Rad. Transfer **99**, 584 (2006)

<sup>3</sup>M. E. Sherrill et al, Phys. Rev. E **73**, 066404 (2006)

<sup>4</sup>J. Abdallah, Jr. et al, J. Physics B **45**, 035701 (2012)

# Atomic model for Boltzmann electron kinetics

- Atomic processes included:
  - electron collisional excitation and deexcitation
  - spontaneous radiative decay
  - electron collisional ionization and (3B) recombination
  - resonant electron capture and autoionization
  - electron radiative recombination
  - free-free (Bremsstrahlung) emission
  - free-free (inverse Bremsstrahlung) driven by x-ray drive
  - photoexcitation and photoionization driven by x-ray drive
- Optically thin approximation: no trapping of line transition, radiative recombination and Bremsstrahlung self-emission photons
- Neon atomic model:
  - configuration average approximation
  - non-autoionizing and autoionizing states included
  - 2054 configurations, all ionization stages

# Atomic processes impact the electron distribution (I)

- Electron-ion collisions contribute to the heating and cooling of **free electrons**

Heating	Cooling
electron collisional deexcitation	electron collisional excitation
electron collisional (3B) recombination	electron collisional ionization
free-free (inverse Bremsstrahlung) absorption driven by x-ray flux	free-free (Bremsstrahlung) emission

- **Free electrons** are added and removed by atomic ionization and recombination

Ionization	Recombination
electron collisional ionization	electron collisional (3B) recombination
<b>Photoionization driven by x-ray flux (adds energy to pool of free electrons)</b>	electron radiative recombination
No photoionization driven by plasma self-emission: model is “optically thin”	
spontaneous autoionization (also adds energy to pool of <b>free electrons</b> )	resonant electron capture

# Atomic processes impact the electron distribution (II)

- Photon excitation and deexcitation of atomic levels indirectly affect the distribution of **free electrons**

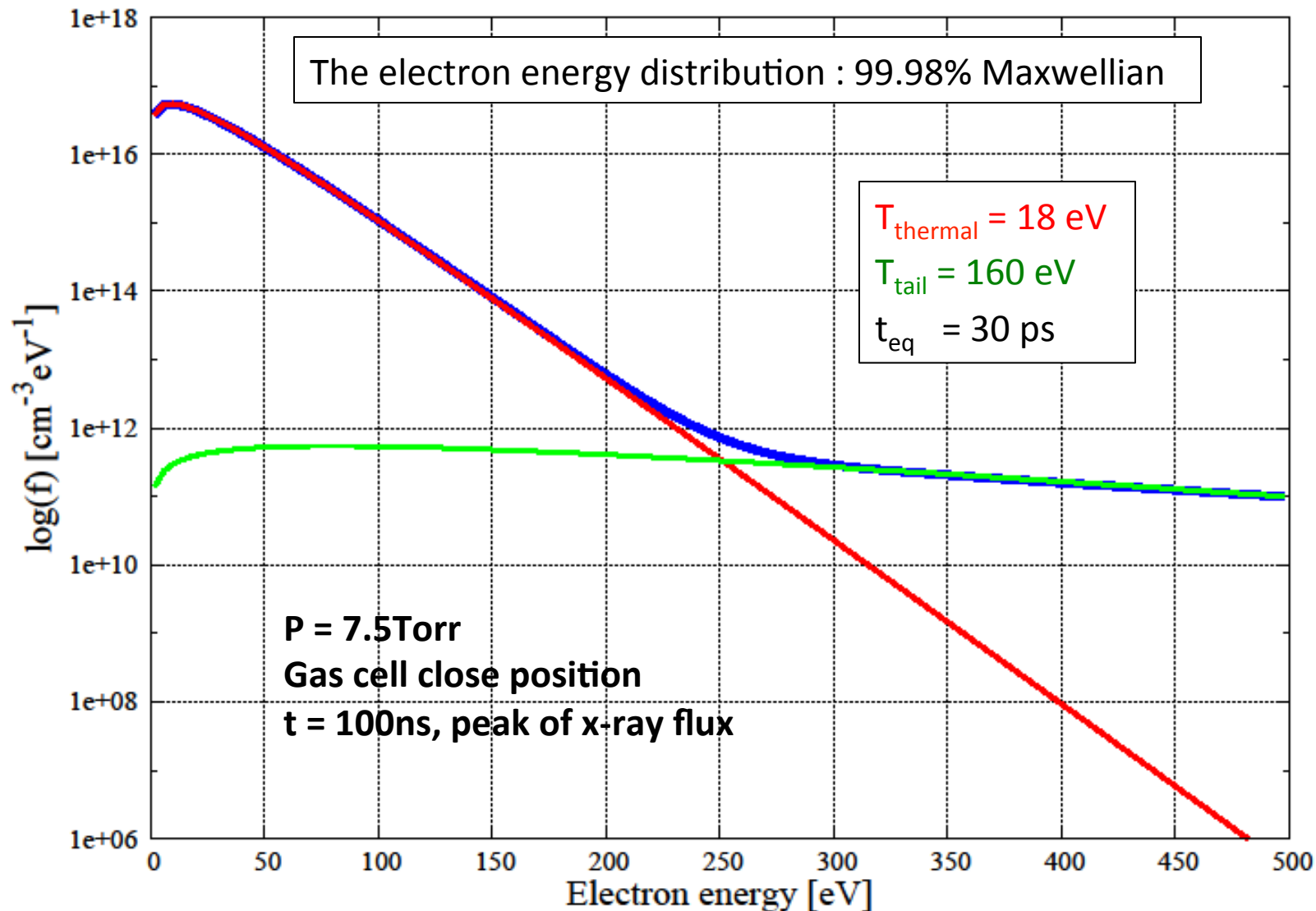
Atomic excitation	Atomic deexcitation
Photoexcitation driven by x-ray flux	spontaneous radiative decay
No photoexcitation driven by plasma self-emission: model is optically thin	

- The distribution of **free electrons** is thermalized by electron-electron elastic scattering
- Electron-ion scattering is not included



# Electron energy distribution function

Free electrons thermalize quickly and evolve through a series of equilibrium states



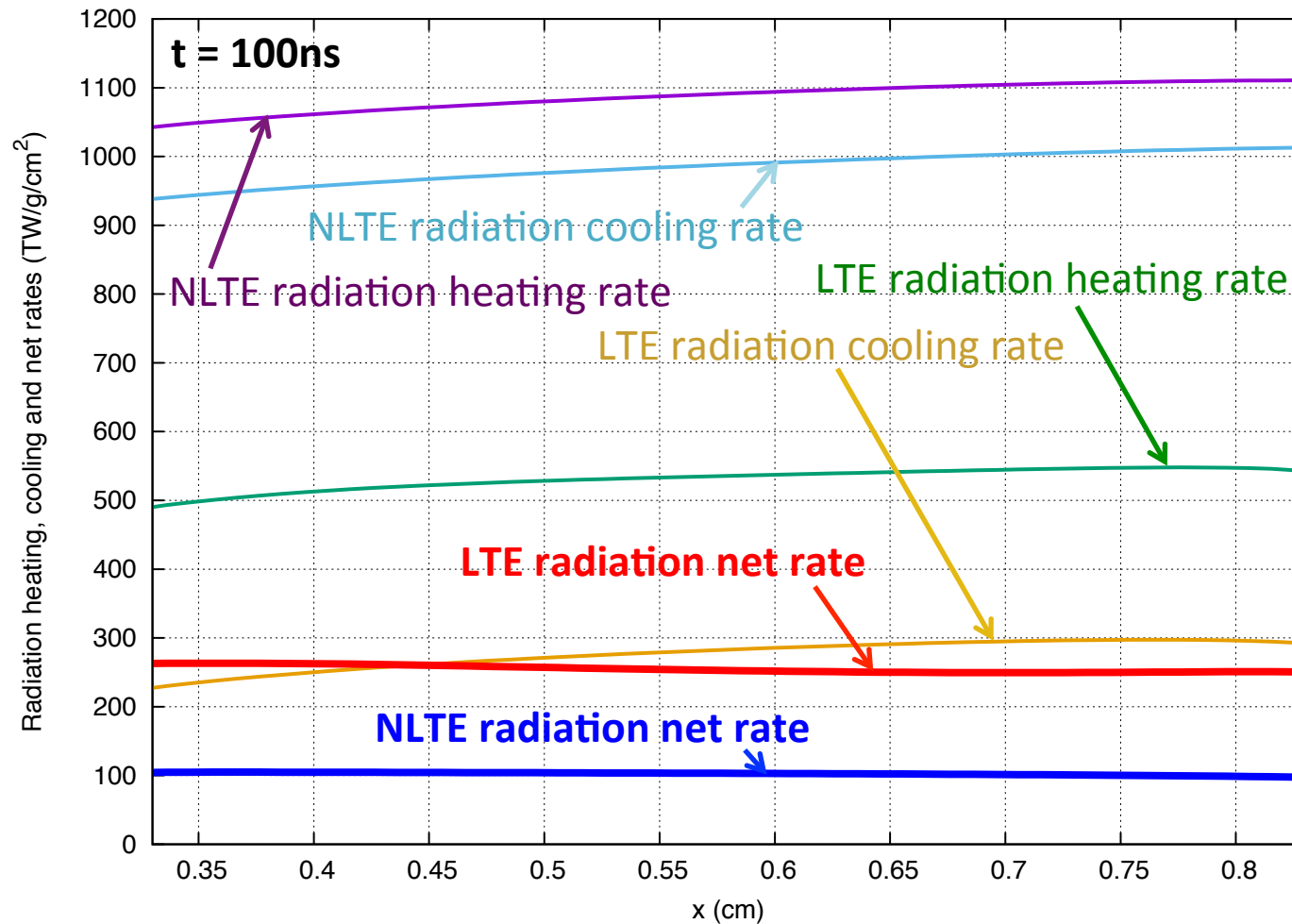
# Radiation-hydrodynamics simulations

- Helios-CR radiation-hydrodynamics model and code<sup>1</sup>
- Complete system: front window + neon + rear window
- Standard 1-D Lagrangian hydrodynamics
- Advanced atomic physics and radiation transport
- Photon-energy resolved emissivity and opacity computed with inline atomic kinetics
- For the same atomic model, two approximations:
  - Level population distribution from LTE solution of atomic kinetics: **equilibrium atomic physics**
  - Level population distribution from solution of time-dep. CR atomic kinetics: **non-equilibrium atomic physics**
- **Non-equilibrium atomic physics directly couples the x-ray flux to the atomic kinetics**
- Multi-angle, photon-energy resolved radiation transport
- Equilibrium equation of state

<sup>1</sup>J. J. MacFarlane, I. E. Golovkin and P. R. Woodruff, J. Quant. Spectrosc. Rad. Transfer **99**, 381 (2006)

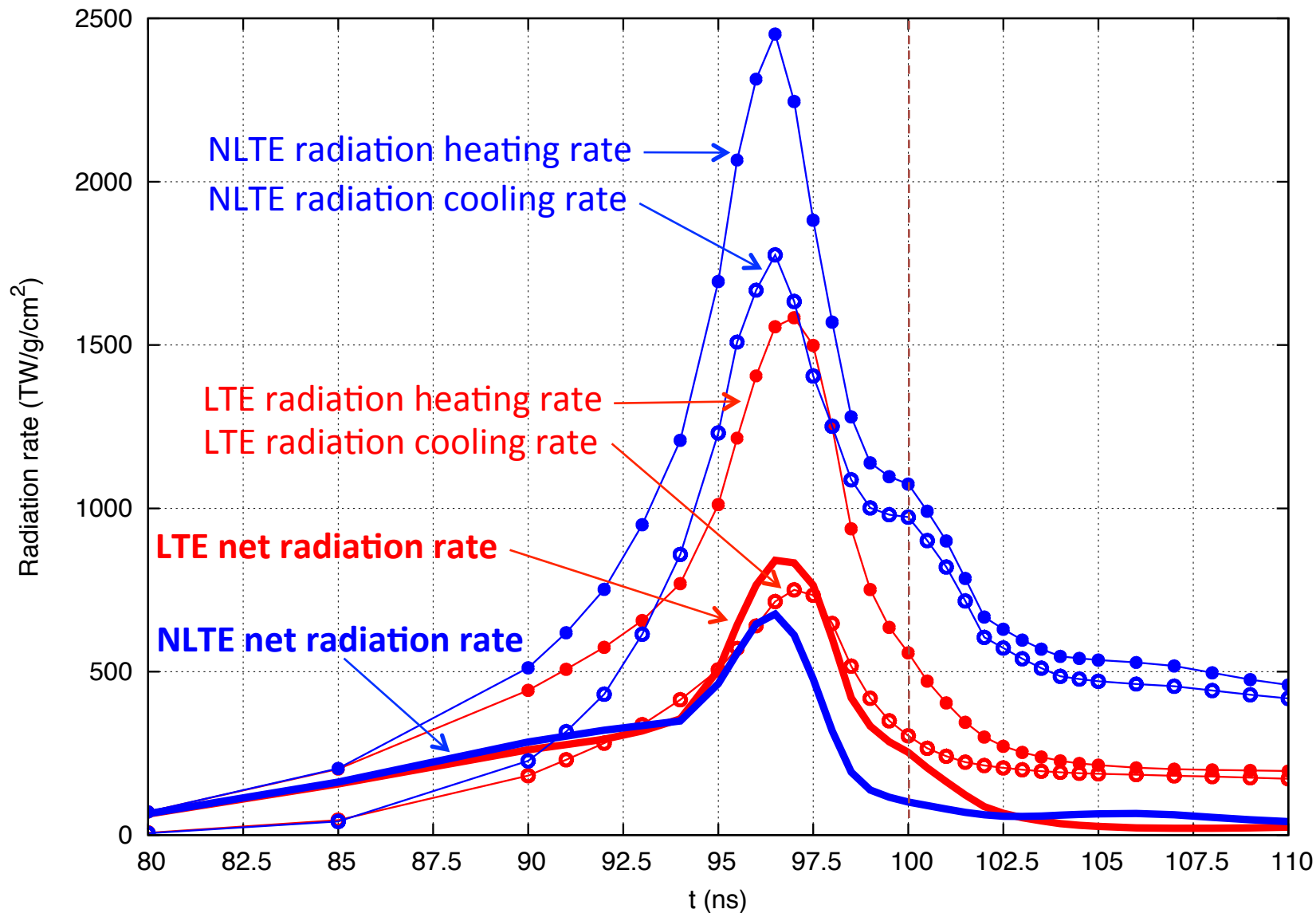
# X-ray flux heats neon photoionized plasma uniformly

- But, the radiation heating and cooling rates modeled with inline NLTE or LTE atomic kinetics are different,  $P=30\text{Torr}$ ,  $t=100\text{ns}$



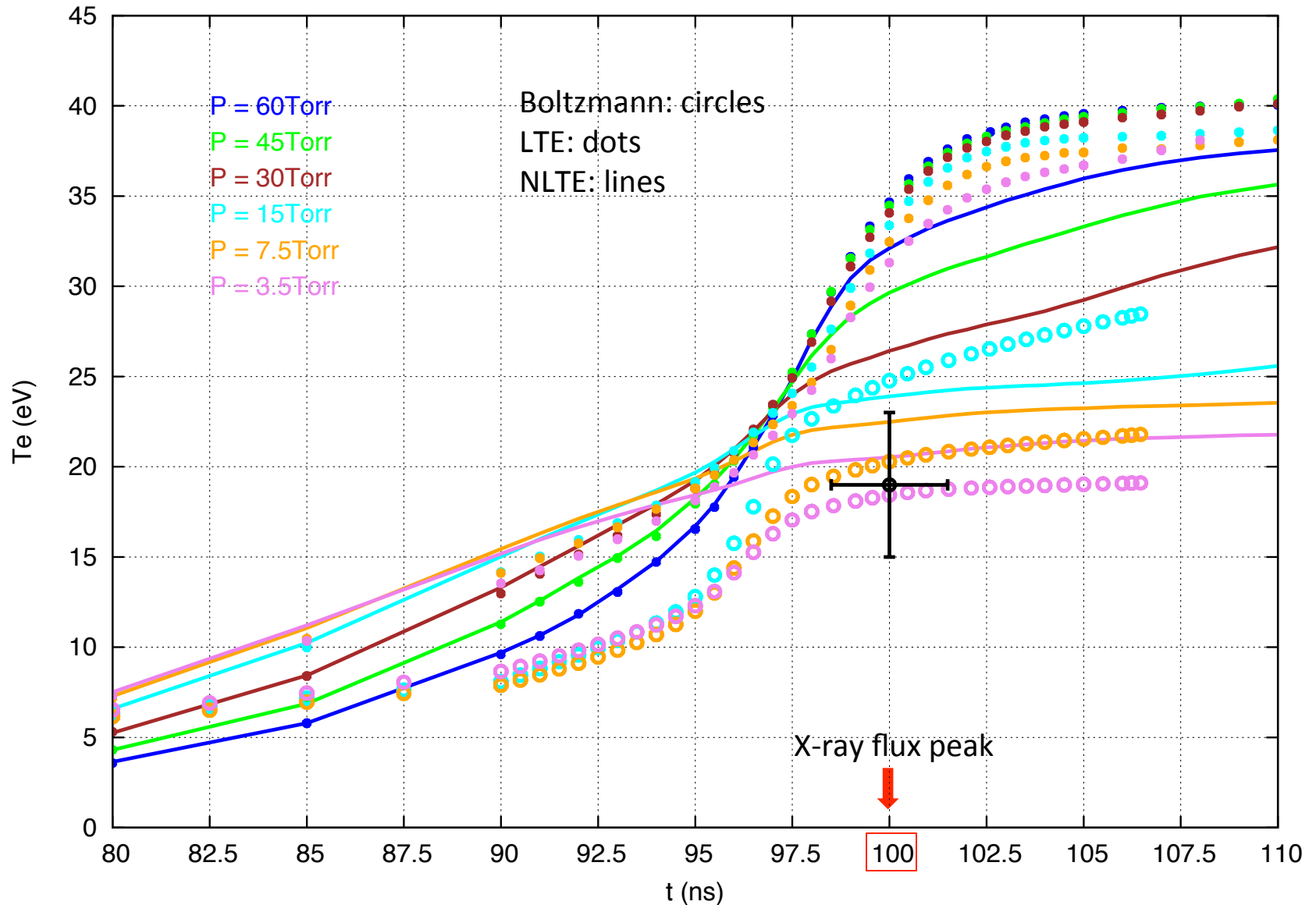
# X-ray heating depends on drive and plasma conditions

Radiation rates peak before x-ray drive



# Atomic physics modeling impacts x-ray heating

Non-equilibrium x-ray heating produces lower  $T_e$  more consistent with observation



# Astrophysics models overestimate $T_e$

- Astrophysics modeling codes Cloudy<sup>1</sup> and XSTAR<sup>2</sup> were used to calculate plasma heating and electron temperature  $T_e$
- Self-consistent solution of collisional-radiative atomic kinetics, energy balance, and radiation transport
- These models are steady state, consider P=30Torr and P=60Torr

P (Torr)	Cloudy $T_e$ (eV)	XSTAR $T_e$ (eV)
30	55	65
60	45	57

- Both models overestimate the electron temperature, Cloudy less than XSTAR

<sup>1</sup>G. Ferland et al, Publ. Astron. Soc. Pacific **110**, 761 (1998)

<sup>2</sup>T. Kallman and M. Bautista, Astrophys. J. Sup. Series **133**, 221 (2001)

# Conclusions

- Atomic physics impacts **both** plasma diagnosis and modeling
- Two cases were discussed,
  - tracer x-ray spectroscopy of ICF implosion experiments
  - x-ray heating of laboratory photoionized plasmas
- NLTE atomic kinetics, **spectral line shapes**, and radiation transport are key for modeling the emergent intensity distribution
- There is a need to improve current electron broadening models, and ion broadening of L-shell spectra
- **Multi-objective data analysis** in HEDP is relatively new, it has been very useful and it is changing the way we use data, how can we connect the PGA to other methods/ideas such as MCMC?
- We are just beginning to see the interplay between **atomic kinetics and heating of photoionized plasmas**, laboratory experiments have been and will continue to be critical