Thermonuclear Fusion in an Equilibrium Z Pinch*

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Presentation Outline

- Z-pinch equilibrium scales to plasma parameters reaching fusion conditions in a compact device
- Sheared-flow stabilization (SFS) applied to the Z pinch theoretical findings and experimental investigation
- Experimental data from ZaP (Z Pinch) device indicating stable behavior coincident with sheared plasma flow
- Stabilizing effect works for higher performing plasmas, as demonstrated with the ZaP-HD device, supported by computational investigations
- FuZE (Fusion Z-pinch Experiment) explores the SFS Z pinch for fusion
 extended experimental capability and numerical simulations
- ➤ Detailed experimental profile measurements → a tightly compressed plasma with high density, magnetic field, and temperature
- Sustained neutron production from thermonuclear fusion
- Looking forward: Scaling the SFS Z pinch to a compact core for fusion energy and to a fusion space thruster





Z-pinch offers attractive scaling to fusion energy

The Z-pinch equilibrium has no applied axial fields as described by $\frac{B_{\theta}}{\mu_0 r} \frac{d}{dr} (rB_{\theta}) + \frac{d}{dr} (n(T_i + T_e)) = 0$

Increasing the current and the resulting azimuthal magnetic field compresses the plasma to fusion conditions in a compact device

- no magnetic field coils.

Assuming adiabatic compression and radial force balance gives scaling relations for higher current.¹

$$\frac{a_2}{a_1} = \sqrt{\frac{n_1 N_2}{n_2 N_1}} = \left(\frac{l_1}{l_2}\right)^{\frac{1}{\gamma - 1}} \left(\frac{N_2}{N_1}\right)^{\frac{\gamma}{2(\gamma - 1)}}$$

$$\frac{n_2}{n_1} = \left(\frac{T_2}{T_1}\right)^{\frac{1}{\gamma-1}} = \left(\frac{I_2}{I_1}\right)^{\frac{2}{\gamma-1}} \left(\frac{N_1}{N_2}\right)^{\frac{1}{\gamma-1}}$$

These results are predicated on having a stable plasma.





 B_{θ}

Z-pinch research predates nuclear fusion understanding

- 1790: Earliest "Z pinch" research by Martinus van Marum¹
- 1905: Observation of crushed lightning rod by Pollock & Barraclough²
- 1907: "Pinch phenomenon" in liquid conductor by Northrup³
- 1934: Theoretical model of plasma Z pinch by Bennett⁴
- 1950: Z pinch was Project Sherwood Jim Tuck's preferred approach to achieve controlled fusion
- 1957: Theory and experiments demonstrated virulent instabilities, m = 0,1
- 1998: Performance of Z pinches using frozen deuterium fibers was severely limited by these instabilities⁵



¹Haines, PPCF (2011); ²Pollock & Barraclough, PRS (1905); ³Northrup, PR (1907); ⁴Bennett, PR (1934); ⁵Lebedev et al., PoP (1998)

Flow Z pinch observations and concept

- 1969: Long-lived plasma column observed during coaxial plasma gun research¹ at LANL
- 1973: High-density plasma beam formed with continuous-flow Z pinch² as a NASA project



z, cm

- 1977: Conceptual fusion reactor based on the flow Z pinch³
- 1990: Development of coaxial quasi-steady-state plasma accelerators⁴ in the USSR produces 4 m long stable plasma stream



¹Newton, Marshall, & Morse, IAEA (1969); ²Cheng & Wang, NF (1973); ³Hartman et al., NF (1977); ⁴Ananin et al., SJPP (1990), Morozov, SJPP (1990)

Stabilizing the Z pinch has proven challenging

While the equilibrium is attractive, the Z pinch is classically unstable to MHD modes: m = 0 sausage and m = 1 kink.



Stability can be provided by limiting the pressure gradient¹, introducing an axial magnetic field², or installing a close-fitting conducting wall³.

These approaches are incompatible with magnetically confining a high-temperature, high-density plasma. Work from a collaboration with Charles Hartman at LLNL suggests the m = 1 mode can be stabilized with a sheared axial flow⁴,

$$\frac{dv_z}{dr} \ge 0.1 \ kV_A$$

though the value is sensitive to axis treatment⁵. Flow shear is observed to stabilize m = 0 modes as well⁶.

¹Kadomtsev, RPP (1966); ²Kruskal et al., PRS (1954); Shafranov, SJAE (1956); ³Knecht et al., IEEE TPS (2014); ⁴Shumlak & Hartman, PRL (1995); ⁵Arber et al., PoP (1996); Angus et al., PoP (2020); ⁶Paraschiv et al., PoP (2010)



Schematic illustrates flow Z-pinch formation



Neutral gas is injected through puff valves into the annulus of a coaxial plasma accelerator.

Neutral gas expands before a t_2 capacitor bank is discharged across the electrodes.

The plasma accelerates down the coaxial accelerator due to generated currents and magnetic fields.



Schematic illustrates flow Z-pinch formation

 t_4

 t_6



The plasma continues down the accelerator in a snow-plow manner.

At the end of the accelerator the plasma assembles into a Z-pinch configuration.

Inertia and gun currents maintain the plasma flow and supply until the accelerator plasma empties or the capacitor current vanishes.



ZaP Flow Z-Pinch Experiment

The ZaP Flow Z-Pinch project investigated the concept of using flows to stabilize an otherwise unstable plasma configuration.



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Diagnostics measure plasma flow and stability

- ZaP diagnostics measure equilibrium plasma parameters, plasma flow, and magnetic mode activity (stability).
- Surface-mounted magnetic field probes → Determine magnetic topology, magnetic fluctuations, and plasma stability¹
- Fast framing camera with optical filters → Qualitative measure of plasma structure
- Four-chord HeNe interferometry \rightarrow Measure plasma density profile²
- 0.5 m imaging spectroscopy with 20 input chords and an intensified CCD detector → Doppler shift for plasma flow profile¹, Doppler broadening for ion temperature³, Zeeman splitting for magnetic fields⁴, Stark broadening for density⁵
- Thomson scattering system using a ruby laser and a Hibshman spectrometer → Measure electron temperature
- Digital holographic interferometer → Measure two-dimensional plasma density structure⁶

Magnetic fluctuations diminish after pinch forms

Fluctuations of the magnetic modes are significantly reduced for ≈ 37 µs after pinch forms. Mode activity increases again after this quiescent period. Experimental data suggests the quiescent length is limited by plasma supplied from the accelerator.



Optical images show a stationary plasma pinch

Visible emission images are obtained of the pinch, every 200 ns, through a 5 cm hole with an Imacon fast-framing camera.

Images show a stationary plasma pinch during the quiescent period. Note hollow structure.



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Pulse 40115035
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Gross kink & sausage instabilities appear at the end of the quiescent period.



Pulse 40127041



Multichord spectrometer provides velocity profiles

A 20-chord imaging spectrometer is connected to an intensified CCD detector to measure the Doppler shifts of impurity emission lines. The chords are spaced out of plane in the drawing below.



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Large flow velocity exists during the quiescent period¹

Large Doppler blue-shift of the C-III impurity line (229.7 nm) during the quiescent period.



Pulse 726025



¹Shumlak, Golingo, Nelson, & Den Hartog, PRL (2001)



Flow velocity decreases at end of the quiescent period

Small Doppler shift of the C-III impurity line (229.7 nm) at the end of the quiescent period.







Flow profile is correlated to plasma stability¹



Plasma assembly ($\tau < 0$), the axial plasma velocity is high and uniform. $\frac{dv_z}{dr} \approx 0 - 4 \times 10^6 \text{ s}^{-1}$ Start of quiescent period ($\tau = 0$),

the velocity profile is high at the plasma edge and lower at the axis. $\frac{dv_z}{dr} \approx 7 - 12 \times 10^6 \text{ s}^{-1}$

End of quiescent period ($\tau = 1$), the plasma velocity profile is low and uniform.

 $\frac{dv_z}{dr} \approx 0 - 6 \times 10^6 \text{ s}^{-1}$

For these plasma parameters, the theoretical growth time ≈ 20 ns.

Linear theory specifies a shear threshold of $\approx 5 \times 10^6 \text{ s}^{-1}$.

¹Shumlak et al., PoP (2003); Golingo et al., PoP (2005); Shumlak et al., NF (2009)



ZaP & ZaP-HD investigated sheared-flow stabilization

ZaP (1999 – 2009) / ZaP-HD (2009 – 2015) Flow Z-Pinch projects investigated using sheared flows to stabilize an unstable plasma.

- generated sheared-flow-stabilized (SFS) Z-pinch plasmas 50 126 cm long
- observed the coincidence of plasma stability and a sheared-flow state
- characterized the plasma equilibrium and demonstrated radial force balance



Simulations show formation of high-pressure plasma

Time-dependent simulations of ZaP-HD indicate the formation of a high-pressure plasma that extends through the Z-pinch assembly region and has a high degree of axial uniformity.

- Whole-device simulations are performed with the Mach2 resistive MHD code using realistic circuit solvers from two capacitor banks.
- Multi-fluid plasma model using WARPX¹ are also applied to study







the onset of drift instabilities and turbulence².

¹Shumlak et al., JCP (2003), Shumlak et al., CPC (2011); ²Loverich et al., PoP (2006)

Magnetic fluctuations diminish after pinch forms

- Fluctuations of the magnetic modes along the entire length of the Z-pinch plasma are significantly reduced for 40 80 µs after pinch forms. Mode activity increases again after this quiescent period.
- The quiescent period is coincident with a sheared axial flow, $v'_z \neq 0$. The velocity profiles agree with calculations of Braginskii ion viscosity¹ for spatially dependent magnetization. Viscous damping time is consistent with the stable Z-pinch lengths observed².



Digital holographic interferometer¹ uses a Nd:YAG laser and digital SLR to provide high-resolution measurements of the plasma density structures, which can be used to characterize the equilibrium.



The phase change gives the line-integrated density

$$\Delta \phi = \frac{\lambda e^2}{4\pi c^2 \epsilon_0 m_e} \int n_e(x) dx.$$



¹Ross et al., RSI (2016) ZAP ENERGY

- The digital hologram is recorded on the camera and then numerically reconstructed to give the complex wave field, which yields the phase change. After unwrapping, a 2D map of phase change results.
- The line-integrated density is Abel inverted to yield electron number density profiles $n_e(r)$ along the axial extent of the hologram.



- The data plot retains the displacement of the pinch axis relative to the geometric axis.
- Notice a peak density of $\approx 2 \times 10^{17}$ cm⁻³ and a pinch radius of ≈ 3 mm along the axial extent of the image.



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Profiles at 3 axial locations are extracted and analyzed.



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$B_{\theta}(r)$ and $T_{e}(r)$ are computed from the density profiles

 $B_{\theta}(T)$

Assuming a uniform drift speed, $v_d = v_i - v_e$, and matching the experimentally measured plasma current, magnetic field profiles $B_{\theta}(r)$ are computed as

$$B_{\theta}(r) = \frac{\mu_0 e v_d}{r} \int_0^r n_e r' dr'$$

Notice a peak magnetic field of 8.5 T.



$B_{\theta}(r)$ and $T_{e}(r)$ are computed from the density profiles

Γ_e (keV)

Assuming a uniform drift speed, $v_d = v_i - v_e$, and matching the experimentally measured plasma current, magnetic field profiles $B_{\theta}(r)$ are computed as

$$B_{\theta}(r) = \frac{\mu_0 e v_d}{r} \int_0^r n_e r' dr'$$

Integrating the radial force balance equation gives the electron temperature profile $T_e(r)$.

$$T_e(r) = \frac{1}{2\mu_0 n_e} \int_0^r \frac{B_\theta}{r'} \frac{d}{dr'} (r'B_\theta) dr'$$

assuming $T_e = T_i$, consistent with the expected collisionality.

Notice a peak electron temperature of \approx 1 keV. Independent spectroscopic measurements indicate $T_i \approx 800$ eV.



FuZE Project advances the SFS Z pinch for fusion

Fusion Z-pinch Experiment, FuZE, expands on the success of ZaP and ZaP-HD.

- more robust device that achieves fusion
- concerted effort on kinetic and multi-fluid modeling
- additional personnel/capabilities by LLNL & UW





FuZE benefits from detailed numerical simulations

Nonlinear fluid & kinetic simulations using Mach2 (MHD), WARPXM (2-fluid), and LSP (PIC) to: (a) study sheared-flow stabilization, (b) design experimental details, (c) model whole device, (d) predict neutron yield

Results show plasma stabilization with sufficient flow shear.







Simulations provide insight to gas injection dynamics.





Multi-fluid models are solved in balance law form

Multi-fluid plasma models capture physical phenomena beyond single fluid models. The 5*N*-moment multi-fluid model is solved using the WARPXM code¹ using a high-order discontinuous-Galerkin finiteelement method.

$$\frac{\partial \rho_s}{\partial t} + \nabla \cdot (\rho_s \boldsymbol{u}_s) = 0$$

$$\frac{\partial}{\partial t}(\rho_s \boldsymbol{u}_s) + \nabla \cdot (\rho_s \boldsymbol{u}_s \boldsymbol{u}_s + p_s \boldsymbol{I} + \Pi_s) = \frac{\rho_s q_s}{m_s} (\boldsymbol{E} + \boldsymbol{u}_s \times \boldsymbol{B}) - \sum_{s^*} \boldsymbol{R}_{s,s^*}$$

$$\frac{\partial \epsilon_s}{\partial t} + \nabla \cdot \left(\left((\epsilon_s + p_s) \mathbf{I} + \Pi_s \right) \cdot \mathbf{u}_s + \mathbf{h}_s \right) = \frac{\rho_s q_s}{m_s} \mathbf{u}_s \cdot \mathbf{E} + \sum_{s^*} Q_{s,s^*}$$

where the total energy is $\epsilon_s = \frac{1}{\gamma - 1}p_s + \frac{1}{2}\rho_s u_s^2$, for each species *s*.

The fluids are coupled to each other and to the electromagnetic fields through Maxwell's equations and collisional transport terms².

¹Loverich et al., CPC (2005); Shumlak et al., CPC (2011); Miller et al., PoP (2016); ²Braginskii, RPP (1965)

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Multi-fluid (5*N*-moment) plasma model simulations using the WARPXM code suggests that sheared flows are effective in mitigating macro (fluid) instabilities.

$$v_z^a = 0.0 V_A$$

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$$t = 10 \tau_A$$

 $v_{z}^{a} = 0.5 V_{A}$



















Multi-fluid (5*N*-moment) plasma model simulations using the WARPXM code suggests that sheared flows are effective in mitigating macro (fluid) instabilities. $t = 30 \tau_{A}$



ASHINGTON

$$0 au_A$$





Multi-fluid (5*N*-moment) plasma model simulations using the WARPXM code suggests that sheared flows are effective in mitigating macro (fluid) instabilities.



SHINGTON

$$t = 35 \tau_A$$

$$v_z^* = 0.5 v_A$$





Multi-fluid (5*N*-moment) plasma model simulations using the WARPXM code suggests that sheared flows are effective in mitigating macro (fluid) instabilities. Defining pinch mass and thermal energy as $\int_{0}^{a} n_{i} dx^{3}$ and $\int_{0}^{a} (p_{i}+p_{e}) dx^{3}$ provides a measure of confinement.



Confinement rapidly degrades in the static Z pinch as a result of instabilities. Flow shear enhances confinement¹. Even when instabilities appear in the sheared-flow Z pinch, confinement is better maintained.

Flow direction matters, with increased stabilization for $\Omega \cdot B < 0$, similar to recent KHI studies². ¹Meier et al., PoP (2021); ²Vogman et al., PoP (2020)

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Kinetic models also study sheared-flow stabilization

Fully kinetic simulations using the LSP PIC code suggests that sheared flows are effective in mitigating micro (kinetic) instabilities¹, in addition to macro (fluid) instabilities.



Gyrokinetic simulations² of the SFS Z pinch with COGENT show a stabilizing effect with increased flow shear, but not complete linear stabilization.

¹Tummel et al., PoP (2019); ²Geyko et al., PoP (2020), Geyko et al., PoP (2021)





Whole-device modeling provides insight to experiment

Time-dependent simulations of the FuZE device indicates the dynamics of the Z-pinch plasma formation, heating, and fusion neutron production from an extended line source for approximately 10 µs.



t = 20 µs

Whole-device modeling provides insight to experiment

Time-dependent simulations of the FuZE device indicates the dynamics of the Z-pinch plasma formation, heating, and fusion neutron production from an extended line source for approximately 10 µs.



t = 30 µs

Whole-device modeling provides insight to experiment

Time-dependent simulations of the FuZE device indicates the dynamics of the Z-pinch plasma formation, heating, and fusion neutron production from an extended line source for approximately 10 µs.



t = 40 µs

FuZE produces stable, high-performance plasmas

Stable behavior observed during quiescent period. Pinch current rises above 200 kA until it equals total plasma current.



Impact Parameter (cm)

FuZE produces stable, high-performance plasmas

Stable behavior observed during quiescent period. Pinch current rises above 200 kA until it equals total plasma current.



Fusion neutrons from FuZE deuterium plasmas

When gas mixtures containing deuterium, $D_2 - H_2$, are used to make FuZE plasmas, sustained fusion neutron production¹ ($\approx 8 \ \mu s$) is detected coincident with quiescent period and large pinch current.

Measurements indicate a steady neutron emission to within statistical expectations consistent with a thermonuclear process.



Fusion neutrons scale with deuterium concentration





Fusion neutron yield agrees with theoretical expectation

- Expected neutron yield can be calculated from the experimental measurements.
 - ion temperature (gives thermonuclear reaction rate parameter¹)
 - radial profile of the ion density
 - neutron emission time
 - plasma volume

$$Y_n = \int \frac{1}{2} n_D^2 \langle \sigma v \rangle \tau dx^3$$

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Measured neutron yield is 10^5 , agrees² with theoretical thermonuclear process with $T_i \approx 1.2$ keV.

Spectroscopy indicated $T_i \approx 1.0 - 1.5$ keV.



¹Bosch & Hale, NF (1992); ²Zhang et al., PRL (2019)



Neutron energy isotropy excludes D⁺ beams >8 keV

Neutron energy spectra are characterized from proton recoil signals of upstream and downstream plastic scintillator detectors. Maximum neutron energy is related to beam energy. $E_{n_{\text{max}}} = \frac{1}{8} \left(\sqrt{E_b} + \sqrt{3(E_b + 2E_f)} \right)^2$



FuZE neutron energy spectra are statistically identical on both detectors. Measurement uncertainty of 140 keV limits the maximum deuteron beam energy to below 7.5 keV¹ indicating fusion from a thermonuclear process.



Spatially-resolved measurements indicate line source

- Determining the neutron emission volume¹ is accomplished by making measurements from multiple detectors at varying axial positions.
- The data are fit to an analytical calculation for a uniform source of arbitrary length and center.





Least squares fit to the data gives a neutron emission geometry that is an extended line source: 32.8 cm length 16.4 cm centroid

¹Mitrani et al., NIMA (2019)



Neutron yield increases with pinch current

Adiabatic scaling relations¹ predict a neutron yield that strongly depends on Z-pinch current, $Y_n \propto I^{11}$.

Two-temperature MHD simulations of the SFS Z pinch support a similar scaling².

Experimental campaign on the FuZE SFS Z pinch to increase pinch current shows a yield that may be consistent with this expectation.





¹Shumlak et al., FST (2012) , Shumlak et al., PoP (2017); ²Shumlak, JAP (2020)

SFS Z pinch achieves fusion breakeven at 650 kA¹

Starting with experimentally achieved plasma parameters at 50 kA, increasing the current with a fixed linear density rapidly reaches breakeven conditions. Fusion core remains compact² even at high Q. Large instantaneous power avails modest duty cycle operation.

Sample instantaneous conditions

I _p = 2 MA	T = 32 keV
L = 75 cm	a = 120 µm
Q = 29	P _f = 3.1 TW

Adiabatic scaling results can be compared to experimental measurements obtained with higher currents, 200 kA:

- higher temperature
- Iower density
- higher Q



¹Shumlak et al., FST (2012), Shumlak et al., PoP (2017), Shumlak, JAP (2020); ²Forbes et al., FST (2019)

SFS Z-pinch reactor conceptual design is underway



(One of Several)

SFS Z-pinch reactor conceptual design

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- pulsed at 10 Hz, 190 MWth each core
- Multiple cores share tritium-handling facility

Liquid LiPb serves multiple functions:

- outer electrode
- heat transfer fluid
- biological shield
- tritium-breeding blanket

Bechtel, WSI, and Dec. Sys. SFS Z-Pinch Study w/3 Cores





SFS Z pinch achieves D-³He fusion Q=1 at 1.9 MA¹

The D-³He reaction results in ionized fusion products^{*}, which are directed axially for thrust. Lower reactivity requires higher currents, but can still achieve high Q for a low- α (kg/kW) fusion space thruster² with high specific impulse $\approx 10^6$ s and high thrust $\approx 10^6$ N.

Sample instantaneous	
$I_p = 4 MA$	Q = 10
L = 30 cm	P _f = 13 TW

The SFS Z-pinch device leads naturally to a fusion space thruster by exhausting the highly energetic ionized fusion products as the propellant.

Fuel mixtures diluted with non-fusing gases provides throttle-ability. The device would operate as a fusion-augmented MPD thruster. *Note: D-D reactions will still produce neutrons.



¹Shumlak et al., FST (2012), Shumlak et al., PoP (2017), Shumlak, JAP (2020); ²Shumlak et al., AIAA 2006-4805

SFS Z-Pinch Fusion Space Propulsion System

Operating a 4 MA SFS Z-pinch fusion thruster at 1 Hz with a 0.001% duty cycle could deliver a 2,100 kg payload to a 125 AU orbit (interstellar space) in 9 years with a 70,000 kg spacecraft.

Advancing the SFS Z-pinch fusion space propulsion system requires:

- Maintaining plasma stability and control at higher pinch currents
- Developing electrode designs that withstand plasma contact
- Integrating the systems, which includes spacecraft modeling and mission architecture





Open Questions and Future Research

- Is compression in the sheared-flow-stabilized Z pinch an adiabatic process? Do shocks occur during the Z-pinch assembly process?
- Under what conditions will shear-flow stabilization breakdown?
- Will compressing the plasma to even smaller radii increase the drift speed faster than the thermal speed – potentially leading to drift or kinetic instabilities?
- What barriers may prevent the Z pinch from being scaled to even higher plasma parameters?
- Can flow shear replace or augment magnetic shear in other plasma confinement configurations? Is this already happening?
- Does flow shear play a role in astrophysical objects?





Summary & Conclusions

- The sheared-flow-stabilized Z pinch produces equilibrium plasmas that exhibit gross stability during an extended quiescent period.
- The quiescent period is coincident with a sheared plasma flow that is consistent with sheared-flow-stabilization theory.
- Combining fluid & kinetic numerical simulations with well-diagnosed experiments – ZaP, ZaP-HD, & FuZE – has demonstrated scaling of the SFS Z pinch to high energy density plasmas.
- Experimental measurements show an axially uniform, compressed plasma with high parameters: $a \approx 3 \text{ mm}$, $n_e \approx 10^{17} \text{ cm}^{-3}$, $B_\theta \approx 10 \text{ T}$, and $T_e \approx T_i \approx 1 \text{ keV}$.
- FuZE demonstrates sustained neutron production during the quiescent period through a thermonuclear fusion process.
- SFS Z pinch has no magnetic field coils resulting in a compact device for terrestrial fusion energy and would translate to a low-α fusion space thruster.

